Ecology Guideline For the ICT Industry (Version <u>8</u>)



December 6, 2017 ICT Ecology Guideline Council

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Revision History Initial version: Version 1, February 1, 2010 Revised: Version 1.1, December 27, 2010 Revised: Version 2, March, 9, 2011 Revised: Version 3, December 27, 2011 Revised: Version 4, February 6, 2013 Revised: Version 5, February 6, 2014 Revised: Version 6, February 3, 2015 Revised: Version 7, February 5, 2016 Revised: Version 7.1, February 1, 2017 <u>Revised: Version 8, December 6, 2017</u>

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1. Background and Purpose

1.1 Background

In the face of global warming, Japan must take responsible action to reduce CO_2 emissions and the info-communications technology (ICT) industry needs to further strengthen its own voluntary efforts currently in effect.

As mentioned in the April 2008 Report Released by the "Study Group on ICT Policy for Addressing Global Warming (Ministry of Internal Affairs and Communications: MIC)," the use of ICT can dramatically increase efficiency in production, consumption and industrial activities and greatly contribute to the reduction of carbon emissions by replacing physical travel and easing traffic congestion. However, the growth in the scale of ICT services which are realized through configuring network equipment, servers, and other devices, and the resulting traffic volume have led to increased consumption of energy (electricity), translating into escalating CO₂ emissions.

To address this increase in CO₂ emissions, the MIC report released in June 2009 by its "Study Group on Ecological Measures in the Info-communications Industry" listed (i) the procurement of energy-efficient equipment by telecommunications services providers and (ii) making reductions in CO₂ emissions through energy conservation by telecommunications services providers more visible - as two of the numerous effective measures in decreasing CO₂ emissions. It also sought the creation of a guideline by ICT associations with the cooperation of equipment vendors and other relevant entities, covering such topics as "assessment of CO₂ emissions by equipment," "labeling" and "Eco ICT Logo."

With the release of this MIC report, the five industry organizations: Telecommunications Carriers Association (TCA), Telecom Services Association (TELESA), Japan Internet Providers Association (JAIPA), Communications and Information network Association of Japan (CIAJ) and ASP-SaaS-Cloud Consortium (ASPIC) created the "ICT Ecology Guideline Council," (hereafter referred to as "Council") on June 26, 2009 to contribute to decreasing CO₂ emissions through the cooperative efforts to lower energy-consumption among ICT equipment users – including telecommunications services providers - and manufacturers. The mission of the Council is to (1) determine an "assessment standard" for use in energy-efficient "procurement standards" of equipment and data center services by telecommunications services providers and (2) create a guideline for "establishing procurement standards" and a "self-assessment of actions" to indicate appropriate CO_2 reduction measures through energy conservation taken by individual telecommunications services providers.

1.2 Purpose

Curbing power consumption by the equipment used to provide services is an effective way for telecommunications services providers to reduce CO₂ emissions through energy conservation. To that end, it is appropriate for individual telecommunications services providers to establish "procurement standards" for buying telecommunications equipment and services that emit lower amounts of CO₂.

Furthermore, telecommunications services providers need to endeavor to increase energy efficiency across the entire business spectrum. To further promote ecology-conscious actions by telecommunications services providers, individual companies need clear normative actions, and there needs to be a framework that simplifies assessment by a third-party.

In consideration of these factors, this Guideline sets forth points 1) and 2) below and by doing so, seeks to enhance ecology-conscious actions, such as efforts to reduce CO_2 emissions through energy conservation by telecommunications services providers. This Guideline also aims to promote ecology-consciousness among non-telecommunications services providers who use ICT equipment.

(1) Guideline to establish procurement standards of energy-saving equipment

Indicate an "assessment standard" to assist the formulation of "procurement standards" by telecommunications services providers for equipment and services with a focus on the reduction of CO_2 emissions through energy conservation.

(2) Guideline for disclosing self-assessment of ecology-conscious actions

Prepare a framework for public disclosure that facilitates an understanding of the status of efforts to reduce CO_2 emissions through energy conservation, in order to show that telecommunications services providers are appropriately making such efforts. To that end, the Council will provide a Self-Assessment Checklist and "Eco ICT Logo."

Furthermore, this guideline is a reference for telecommunications services providers in establishing voluntary procurement standards. It is possible that equipment deemed necessary by telecommunications services providers due to performance and functional requirements do not meet the energy-saving standards of this guideline.

2. Definitions

2.1 Definitions of Major Guideline Terminologies

(1) Telecommunications services providers

Under this Guideline, an entity providing telecommunication services based on the Telecommunications Business Act is referred to as a telecommunications services provider. Moreover, the term is not limited to entities belonging to an industry organization.

(2) Vendor

Under this Guideline, "vendors" refer to all equipment manufacturers (including OEM businesses) but does not include businesses dealing solely in sales and distribution.

(3) Eco ICT Logo

A symbol for broadly disseminating to the public that self-assessment of CO₂ emission reduction through energy conservation and other ecologically conscious activities are being performed.

(4) Figure of merit

An index used to calculate the energy-saving effects of a device or data center.

(5) Assessment result

A result of assessment based on actual measurement.

(6) Normative reference

An assessment standard (expressed as an assessment scale) for determining the energy-saving effect.

(7) Assessment scale

An assessment scale of five ranks representing rate of reduction in energy consumption vs. the normative reference shall be used to facilitate the understanding of the energy efficiency of devices or data centers. Ranks are indicated by the number of stars (\star). The greater the number of stars, the greater the energy efficiency.

(8) Self-assessment checklist

A table of assessment items established by the Council to be used for self-assessment and public disclosure of ecology-conscious actions.

(9) Assessment standard based on the Top Runner Program

Target reference values for energy conservation set forth under the Act on the Rational Use of Energy (hereinafter referred as the Energy Conservation Law), which

promotes equipment manufacturers and other relevant entities to manufacture energy-efficient products by setting normative references to be achieved.

3. Relative Positioning and Expected Impact

3.1 Scope

This guideline basically applies to telecommunications services providers but also considers serving as a reference for companies outside the scope of telecommunications services providers that are planning "procurement standards" or are engaged in broader efforts to reduce CO₂ emissions.

The scope of who the assessment result information in this Guideline shall be made available to will broaden in phases. In Step 1, the information shall be provided to those who are procuring mainly ICT equipment. In Step 2, the information shall be made available with no restrictions, in order to promote ecology-consciousness among all users of ICT equipment.

In addition, the "Eco ICT Logo" shall be used not only by a person who operates a telecommunications business defined under the Telecommunications Business Act, but may be used by a person who operates a business related to telecommunications services using substantial ICT equipment.

3.2 Policy

As stated in 1.2 Purpose, the policy of this Guideline is to (1) lay down guidelines for establishing a procurement standard of energy-saving equipment among telecommunications services providers and (2) lay down guidelines for self-assessment and disclosing ecology-conscious actions.

As for (1), laying down guidelines for establishing procurement standards of energy-saving equipment among telecommunication carriers, this Guideline sets forth:

- categories, relevant equipment and assessment standards that can be shared amongst the vendors and companies making the procurement; and
- directions for easy-to-understand notations to be used by those involved in procurement.

As for (2), laying guidelines for self-assessment and disclosing ecology-conscious actions, this Guideline proposes:

• a method of public disclosure using self-assessment checklists and "Eco ICT Logo."

3.3 Expectations for Respective Parties

By creating this Guideline, we expect the respective parties to take the following actions:

- (1) Telecommunications services providers
 - [1] Establishment of Procurement Standards

Referring to the figure of merit and assessment standards provided in this Guideline for equipment and data centers, telecommunications services providers shall formulate their own procurement standards while allowing for safety and reliability considerations. Specifically, in procuring equipment before the "approximate date for achieving normative reference," the product with the highest energy efficiency among $1 \bigstar$ to $5 \bigstar$ products, and if after the "approximate date for achieving normative reference," the product with the highest energy efficiency among $2 \bigstar$ to $5 \bigstar$ products shall be the target. Furthermore, since the process for establishing normative references and their approximate date of achievement vary by equipment, it is necessary to note that comparing the energy efficiency by looking at the number of \bigstar in the assessment scale among different equipment may not be appropriate. See the example images below for reference.

As for servers, two separate assessment standards have been indicated – active state and idle state. Thus, telecommunication carriers and similar entities are expected to consider the operational status of their servers in choosing one state or the other in establishing their procurement standard.

Example of establishing a uniform procurement standard for equipment and/or services.

<Image for use as reference>

Company ABC's Procurement Standard (executed in FYxx)

In procuring materials, we at our company have clearly defined our stance to contribute to the creation of a sound material-cycle society and have established a procurement standard to further promote this effort.

- 1. Scope of equipment covered: ICT equipment and services
- 2. Procurement standard

For equipment listed in the "Ecology Guideline for ICT Industry," procurement decisions shall basically be made from among equipment with energy-saving ranking of n stars or above.

For equipment not listed in the Guideline, the equipment with the lowest possible electric power consumption shall be procured.

Example of establishing a procurement standard per equipment

<Image for use as reference>

Company ABC's Procurement Standard (executed in FYxx)

In procuring materials, we at our company have clearly defined our stance to contribute to the creation of a sound material-cycle society and have established a procurement standard to further promote this effort.

Scope of equipment covered, procurement standard

For the following equipment listed in the "Ecology Guideline for ICT Industry," procurement decisions shall basically be made from among equipment with energy-saving ranking stars $[\star]$ or above listed to the right of the Equipment Type below. For equipment not listed in the Guideline, the equipment with the lowest possible electric power consumption shall be procured.

Equipment A: *n* stars or above Equipment B: *n* stars or above

[2] Indication of the "Eco ICT Logo"

Check the status of efforts and achievements in reducing CO_2 emissions through energy conservation and disclose those results using an "Eco ICT Logo," to show that telecommunications services providers are appropriately engaged in efforts to reduce CO_2 emissions.

(2) Vendors

[1] Indication of equipment assessment outcome

Vendors wishing to submit their product to the Council as energy-saving equipment covered by the scope of this Guideline must measure and evaluate the device in accordance to section 5.2 of this Guideline and submit the assessment outcome (assessment results and assessment scale ranking) to the Council so that the information can be publicly disclosed on the Council homepage.

(3) Data center operators

[1] Public disclosure of data

In relation to data center services, data center operators shall publicly disclose energy-saving measures which would serve as a reference for procurement by telecommunications services providers.

3.4 Expected Impact

The relevant equipment and assessment standards indicated in this Guideline allow for the establishment of categories and assessment standards that can be shared by both vendors and the companies making the procurement, which benefits both sides to the extent it can eliminate inconsistencies in manufacturing and procurement. Furthermore, it is expected that as telecommunications services providers add energy-saving items to their procurement standards and actively install energy efficient equipment, this, in turn, will promote the development of energy efficient ICT equipment.

By formulating an assessment standard with the objective of saving energy, it is hoped that standardization bodies will accelerate deliberations of low power-consuming technologies (such as technologies to control stand-by power of access equipment).

3.5 Revisions

This guideline will require appropriate response to: changes in policy relating to global warming measures; the status of ICT service provision; penetration of equipment and the like; and technological advances. To that end, even after its establishment, this Guideline shall continue to be studied by the Council and:

- the scope of equipment covered shall be broadened, and
- review of the criteria, such as figure of merit and normative reference shall be made.

3.6 Application of new normative references to equipment

The new normative reference shall apply to equipment where development started after announcement of the new specifications, whereas the old normative reference shall apply to any equipment developed and sold (existing equipment) prior to the announcement.

3.7 Notice of Disclaimer

This Guideline shall be used as reference by telecommunications services providers at their own discretion to determine standards for procuring ICT equipment or data centers. As for the "Eco ICT Logo," the telecommunications services provider shall be responsible for filling out the check list, making the information public and using the logo.

The Council shall not be liable for any damages and/or conflicts resulting from the use of this Guideline. It is presumed that the user of this Guideline shall be responsible for any incidental or consequential damages and/or conflicts resulting from the use of this Guideline.

4. Outline of the Guideline

4.1 "Assessment standards" for equipment covered (for details, see 5.2)

(1) Equipment covered in this Guideline and relevant values

* An assessment scale is designated for each Equipment Type based on normative references (refer to the following table and/or section 5.2).

Equipment Type	Category	Equipment name	Figure of merit	Norma- tive reference	Approxi- mate date for achieving normative reference	Notes
Broadband router ¹	А	Wired router	Power consump- tion (W)8 4 En FN $0.10 \times X2 +$ 3.9 $0.10 \times X2 +$ 3.9 $0.15 \times X5 +$ 3.9 $0.10 \times X2 +$ $0.15 \times X5 +$ 5.1 $0.10 \times X2 +$ $0.15 \times X5 +$ 5.1 7.4 7.4	4	End of FY2010	X2: 2.4 GHz wireless output (mW/MHz)
(no VPN function)	В	VoIP Wired router		5.5	2+ X5: 5 output *Figure norma shall with assessi	X5: 5 GHz wireless output (mW/MHz) *Figure of merit and
	С	Wireless router (2.4 GHz)		0.10×X2+ 3.9		shall be complian with top runne assessment standards.
		Wireless router (5 GHz)				
		Wireless router (2.4 GHz + 5 GHz)		0.10×X2+ 0.15×X5+ 5.1		
	D	ADSL router		7.4		
	Е	ADSL router with VoIP			7.4	
	F	wireless ADSL router		8.8		

Lawor 2	٨	Lawon 2	Douron	$(\alpha \pm D)/T$	End of	a cum of norman
Layer-2	A	Layer-2	rower	$(u_n + \Gamma_n)/1$		un: sum of power
switch		switch	consump-		FY2011	consumption of port
$(box type)^2$		(with	tion (W)/			and fixed power
		SNMP	maximum			consumption
		manageme	effective			P_n : additional power
		nt and IP	trans-			consumption of PoE
		filtering	mission			T: maximum effective
		functions)	rate (Gbps)			transmission rate
		,	9			n: Category (A, B, C,
						D)
						*Figure of merit and
						normative reference
						shall be compliant
						sitali be compliant
	D	Larray 2				with top further
	D	Layer-2				assessment standards.
		switch				
		(with				
		SNMP				
		manage-				
		ment				
		function,				
		w/o IP				
		filtering				
		function)				
	С	Laver-2				
	-	switch				
		(with Web				
		manage-				
		ment				
		function)				
	D	Lancer 2				
	D	Layer-2				
		switch				
		(w/o				
		manage-				
		ment				
		function)				
Transport	WDM	DWDM	Maximum	0.33	End of	Average power
equipment		device	throughput		FY2016 ²⁶	consumption =
3		(10 Gbps)	(Gbps)/avg			(power consumption
		DWDM	power	0.40		at full wavelength +
		device	consump-			power consumption at
		(40 Ghps)	tion $(W)^{10}$			+1 wavelength) / 2
		(10 80 p3)			_	6 / /
		DWDM		0.86		
		device				
		(100 Gbps)				
		DWDM		1 79	End of	
		dovico		<u>1.7 ×</u>	EV2019	
		$\frac{uevice}{(200 \text{ Chuc})}$			112017	
		Tenn anhai				
		CWDM		0.57	End of	
		device			FY2016 ²⁶	
		(10 Gbps)				

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Con-	Converged	Maximum	0.1009(10	End of	Maximum throughput
verged	packet	throughput	Gbps)	FY2014 ²⁴	$= \sqrt{(A^2 + B^2)/2}$
packet	optical	(Gpbs)/	0.2065(100		A: maximum
optical	equipment	average	Gbps)		throughput of the
equip-me	(packet &	power	1 /		nacket functional part
nt	TDM	consump-			(Chee)
110	functions	tion11			(Gops)
	runctions)	1011-5			B: maximum
					throughput of the
					TDM functional part
					(Gbps)
					Average power
					consumption
					= $(P_{idle} + P_{max})/2$
					Parts: Power
					consumption (W) of
					total aguipmont
					total equipment
					throughput with
					mınımum
					components and
					path configuration
					P _{max} : Power
					consumption (W) of
					total equipment
					during main signal
					transmission with
					maximum
					component
					configuration
	Converged		0.1880	End of	Maximum throughput
	Convergeu		0.1000	EIIU 0I EV201(27	
	раскег			F12010	$= \sqrt{\lfloor \{A^{-} + (C \times Q^{-})\}}$
	optical				/2]
	equipment				A: maximum
	(packet &				throughput of the
	WDM				packet functional part
	functions)				(Gbps)
	(when				C: maximum
	WDM				throughput of the
	function				WDM functional part
	part is				(Gbps)
	$100G \times N$				α Add/Drop rate of
	,				WDM part
					Average power
					consumption
					$= (P_{idle} + P_{max})/2$
					P _{idle} : Power
					consumption (W) of
					total equipment
					with no data
					throughput with
					minimum
					components and
					path configuration
					P _{max} : Power
					consumption (W) of

						total equipment during main signal transmission with maximum component configuration
PON equipment (OLT) ⁴	GE-PON	OLT (AC power source) OLT (DC power source)	Average power consump- tion(W)/ total number of lines ¹²	0.40	End of FY2016 ²⁸	Average power consumption = (P100 + P50 + P0) / 3 Total number of lines = total number of IF ports X no. of PON branches
		ONU(100M bps)	Average power consump- tion (W) ¹³	2.84	End of FY2016 ²⁸	Average power consumption = (P100 + P50 + P0) / 3
		ONU(1Gbp s)		3.75		
Broadband base station equipment ⁵	WiMAX	WiMAX base station (integrated 10W device (1 system))	$\sum_{\substack{e \\ (1-\alpha) + Pmax \\ \times \alpha}} \frac{\left[1-\alpha\right] + Pmax}{\left[1-\alpha\right]^{14}}$	12.60	End of FY2012 ²²	Pn: power transmission by antenna terminal n (W) Pidle: primary supply-side power when there is no load (W) Pmax: primary supply-side power at maximum transmission (W) a: average daily down link transmission traffic rate.

		WiMAX base station (integrated 10W device (2 systems))		9.63		
		WiMAX base station (integrated 5W device (1 system))		5.84		
	LTE	LTE base stations (segregated 20W device)		20.32	End of FY2013 ²³	
		LTE base stations (integrated 20W device)		13.77		
_		LTE base stations (segregated 10W device)		6.91		
	3G/LTE	3G/LTE Multi-Radi o Base Stations (segregated 10W device)	$ \sum (P_{3Gout} + P_{LTEout}) / \{(P_{idle} + P_{max}) / 2\}^{14} $	5.90	End of FY2015 ²⁵	[Transmission power of 3G 2 antennas base station equipment] P _{3Gout} : P _{3Gout1} + P _{3Gout2} P _{3Gout1} : Transmission power (W) from antenna connector 1 Page at Transmission
	LTE-Adv anced	LTE-Advan ced base stations (segregated 10W device)	$ \begin{array}{c c} \Sigma T_i / & \Gamma & P_{idle} \\ \times (1 - \alpha) + \\ P_{max} \times \alpha \end{bmatrix} $	0.4542	End of FY2018	power (W) from antenna connector 2 [Transmission power of LTE 2 antennas base station equipment] P _{LTEout} : P _{LTEout1} + P _{LTEout2} P _{LTEout1} : Transmission power (W) from antenna connector 1 P _{LTEout2} : Transmission power (W) from antenna connector 2
						P _{idle} : Primary supply-side input power (W) when there is no load P _{max} : Primary supply-side input power

						 (W) during maximum transmission T_i: Maximum throughput per 1CA cell (i) in P_{max} state (Mbps) α: average daily down link transmission traffic
Power supply equipment ⁶	Exter- nal power source	AC adapter	Average conversion efficiency ¹⁵	<sandard voltage (6V and above)> 0.071ln(Pn o)+0.0014l n(Pno) <low voltage (less than 6V)> 0.00834ln(</low </sandard 	<u>End of</u> <u>FY2019</u>	Average conversion efficiency = $(\eta_{25} + \eta_{50} + \eta_{75} + \eta_{100})/4$ η_{n} : efficiency at n%load P _{no} : nameplate output (W) * Figure of merit shall be compliant with U.S. Federal mandatory standard (Energy Conservation
				P_{no})+0.001 4ln(P_{no})		Standard released by the U.S. Department of Energy).

Rectifier	Single-	Average	872	End of	Average conversion
	phase	conversion		FY2017	efficiency = $(\eta_{30} + \eta_{40} +$
	AC100V	efficiency x			$\eta_{50} + \eta_{60+} \eta_{70+} \eta_{80})/6$
	output	1,000**			n%load
	rectifier				* Figure of merit shall
	Single-		878		be compliant with
	phase				ATIS specs
	AC200V				
	input, 48V				
	output				
	3-phase		891	-	
	AC200/400		071		
	V input,				
	48V output				
	rectifier				
	(output				
	power<5k W)				
	3-phase		908	-	
	AC200/400		,		
	V input,				
	48V output				
	rectifier				
	(output				
	power≦5k				
	VV) 3-phase		944	End of	
	AC200/400		244	FY2017	
	V input,			112017	
	380V				
	output				
1100	rectifier				
UPS	3-phase	Average	89.2	End of	Average conversion
	200V with	efficiency ¹⁷		F12018	efficiency = $(\eta_{25} + \eta_{50} + \eta_{50} + \eta_{50})/4$
	kVA or	enterency			n_{r} : efficiency at
	above and				n%load
	less than				
	500 kVA.				
	3-phase		89.9	1	
	200V with				
	trans, 500				
	kVA or				
	above.				
	3-phase		90.9	1	
	415V with				
	trans, 500				
	kVA or				
	above.				

		3-phase 200V transless, 200 kVA or above and less than 500 kVA. 3-phase 415V transless, 200 kVA or above and less than 500 kVA. 3-phase 415V transless, 500 kVA or above.		92.7 95.5 96.2		
Server <u></u> equipment 7	A	Dedicated CISC (n<32 I/O slots)	< <figure of<br="">merit for active state>> Overall ssj_ops/wa tt=∑ssj_ops /∑ power consump- tion (W)/10¹⁸ <<figure of<br="">merit for idle state>> {(W₁+W₂)/ 2}/Q</figure></figure>	TE	3D	The top line of each category indicates active state normative reference and approximate date for its achievement, while the bottom line indicates corresponding information for idle state. [Figure of merit for active state] ssj_ops: processing capacity *Figure of merit shall be compliant with SPECpower_ssj®2008 [Figure of merit for idle state] W1: power consumption in idle state (W) W2: power consumption in low-power mode (W) Q1: composite theoryl performance (unit: giga calculations) *Figure of merit and normative reference shall be compliant with top runner assessment standards.

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		1,950	End of FY2017	
В	Dedicated	Т	BD	
	CISC (n≧32	2,620	End of	
	I/O slots)		FY2017	
С	RISC (n<8	Т	BD	
	I/O slots)	7.2	End of	
			FY2017	
D	RISC	Т	BD	
	(8≦n<40	17.2	End of	
	I/O slots)		FY2017	
Е	RISC (40≦n	Т	BD	
	I/O slots)	77.8	End of	
			FY2017	
F	IA64 (n<10	Т	BD	
	I/O slots)			
		3.4	End of	
			FY2017	
G	IA64 (n≧10	Т	BD	
	I/O slots)	12.2	End of	
			FY2017	
Н	IA32 (0 I/O	3,600	End of	
	slots)		FY2017	
		0.7	End of	
			FY2017	
Ι	IA32	3,600	End of	
	(1≦n<7 I/O		FY2017	
	slots, n<2	0.7	End of	
	CPU sockets)		FY2017	
T	IA32	3 600	End of	
,	(1≦n<7 I/O	0,000	FY2017	
	slots,	1.1	End of	
	2≦n<4		FY2017	
	CPU			
	sockets)			
Κ	IA32	Т	BD	
	(1≦n<7 I/O	3.4	End of	
	slots, $n \ge 4$		FY2017	
	CPU			
	sockets)			
L	IA32 (n≧7	3,600	End of	
	I/O slots)	4.1	FY2017	
		4.1	End of	
			r12017	

Storage equipment (subsystem s) ¹⁹	М	For mainframe servers ²⁰	Power consump- tion (W)/ Storage capacity (GB) ²¹	E=exp (1.85 x In (N) - 18.8)	End FY2011	of	N: revo *Figu norm shall	no. lutions lutions ure of native be co	of per n me r omplia	steady (unit: ninute) rit and reference ant with
	N	For use other than mainframe servers	(GD)	E=exp (1.56 x In (N) - 17.7)			top stan	runne dards.	r ass	sessment

¹ Broadband router

Routers which relay data using International Organization for Standardization (ISO) OSI (Open System Interconnection) layer 3 (network layer) using IP addresses included in the destination information of packets, and with an effective transmission rate of no more than 200 Mbps (no more than 100 Mbps for wireless routers).

² Layer 2 (L2) switch

Equipment which relays data on the network using International Organization for Standardization (ISO) OSI (Open System Interconnection) layer 2 (data link layer).

³ Transport equipment

Equipment whose main task is to relay data on the network using International Organization for Standardization (ISO) OSI (Open System Interconnection) layer 1 (physical layer). This category includes WDM equipment, electric multiplexers and FC switches.

⁴ PON equipment

A name for a category of optical access devices for building PON (Passive Optical Network) systems, including GE-PON, G-PON and 10GE-PON equipment

⁵ Broadband base station equipment

A generic term for a category of radio base station equipment such as WiMAX base station or LTE base station equipment.

⁶ Power supply equipment

A device which provides electric power to the equipment and is physically separate and independent of the equipment.

⁷ Server

A computer device comprising of a central processing unit (CPU), a main memory, an input/output control and a power source. It exists as part of a network to provide information and services to other computer devices. It is designed to use an operating system (OS) so that it can be installed with and run user applications.

- ⁸ The power consumed at maximum effective transmission rate. The smaller the number, the greater the energy efficiency.
- ⁹ The power consumed at maximum effective transmission rate. The smaller the number, the greater the energy efficiency.
- ¹⁰ Maximum throughput at average power consumption (average power consumption of full wave length and 1 wave length). The greater the number, the greater the energy efficiency.
- ¹¹ Maximum throughput per average power consumption (average power consumption at loads of 100% and 0%). The greater the number, the greater the energy efficiency.
- ¹² Average power consumption (average power consumption at loads of 100%, 50% and 0%) per line. The smaller the number, the greater the energy efficiency.
- ¹³ Average power consumption (average power consumption at loads of 100%, 50% and 0%). The smaller the number, the greater the energy efficiency.
- ¹⁴ Transmission power (total per antenna) at average input power (average input power at loads of 100% and 0% when applying daily traffic rates). The greater the number, the greater the energy efficiency.

- ¹⁵ Average conversion efficiency at loads of 100%, 75%, 50% and 25%. The greater the number, the greater the energy efficiency.
- ¹⁶ Average conversion efficiency at loads of 80%, 70%, 60%, 50%, 40% and 30%. The greater the number, the greater the energy efficiency.
- ¹⁷ Average conversion efficiency at loads of 100%, 75%, 50% and 25%. The greater the number, the greater the energy efficiency.
- ¹⁸ Total power consumption at CPU loads of 0 through 100% vs. total processing capacity. The greater the number, the greater the energy efficiency.
- ¹⁹ A subsystem refers to 1 unit containing multiple disk drives, magnetic disk control unit, and magnetic disk device (for machines using only the magnetic disk control unit within the computer, 1 unit refers to a chassis with an assigned model number/name).
- ²⁰ "Mainframe servers" refers to server-type computers (computers designed to provide services via networks) with dedicated CISC (of CPUs designed to implement multiple commands of differing bit counts, those designed for individual computers) and the subsystem connected to the server is referred to as mainframe server use.
- ²¹ Power consumption per 1GB. The smaller the number, the greater the energy efficiency.
- ²² Reviewing of the reference value had been done in FY2013. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- ²³ Reviewing of the reference value had been done in FY2014. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- ²⁴ Reviewing of the reference value had been done in FY2015. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- ²⁵ Reviewing of the reference value had been done in FY2016. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- ²⁶ Reviewing of the reference value had been done in FY2017. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- ²⁷ Reviewing of the reference value had been done in FY2017. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
- 28 Reviewing of the reference value had been done in FY2017. Taking market and technological trend into consideration, we decided to keep normative reference value as it is.
 - (2) Assessment scale for relevant equipment
 - [1] Broadband router (no VPN function)Figure of merit: Energy efficiency ratio En=power consumption (W)NR=normative reference

Assessment	Rate of reduction vs. power consumption at NR	E	nergy	v effici cate <u>En</u>	ency : egory (<u>W</u>)	ratio j	per	Notes
scale		А	В	С	D	Е	F	Normative reference E (W) per table under 5.2.1.1 (3)
****	n≧30%			En≦	E×0.7	7		Normative References
****	20%≦n<30%		E×	×0.7 <e< td=""><td>'n≦E</td><td>×0.8</td><td></td><td></td></e<>	'n≦E	×0.8		
***	10%≦n<20%		E×	×0.8 <e< td=""><td>En≦E</td><td>×0.9</td><td></td><td></td></e<>	En≦E	×0.9		
★★ (includes NR)	0%≦n<10%			E×0.9·	<en≦< td=""><td>Е</td><td></td><td></td></en≦<>	Е		
*	(Normative reference not achieved)			Er	ז>E			

[2] Layer-2 switch (box type)

Figure of merit: Energy efficiency ratio En=energy consumption (W)/ maximum effective transmission rate (Gbps)

Assessment	Rate of reduction vs. power	Energy efficiency ratio per category <u>En</u> (<u>W</u>)			Notes	
Scale	consumption at NR	А	В	С	D	Normative Reference
****	n≧30%	En≦E×0.7			E (W/Gbps) per table under 5.2.2.1 (3) Normative References	
****	20%≦n<30%	E×0.7 <en≦e×0.8< td=""></en≦e×0.8<>				
***	10%≦n<20%	$E \times 0.8 \le E \times 0.9$				
★★ (includes NR)	0%≦n<10%		E×0.9<	En≦E		
*	(Normative reference not achieved)		En	>E		

NR=normative reference

Note: Rate of reduction of power consumption vs. NR shall be comparable for equipment with similar maximum effective transmission rates.

[3] WDM

Figure of merit: TEER= maximum throughput (Gbps)/ average power consumption (W) NR=normative reference

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption for 800 Gbps DWDM(10 Gbps)	Average power consumption for 3,200 Gbps DWDM(40 Gbps)
****	n≧30%	P≦1,696.96	P≦5,600.00
****	20%≦n<30%	1,696.96 <p≦1,939.39< td=""><td>5,600.00<p≦6,400.00< td=""></p≦6,400.00<></td></p≦1,939.39<>	5,600.00 <p≦6,400.00< td=""></p≦6,400.00<>
***	10%≦n<20%	1,939.39 <p≦2,181.81< td=""><td>6,400.00<p≦7,200.00< td=""></p≦7,200.00<></td></p≦2,181.81<>	6,400.00 <p≦7,200.00< td=""></p≦7,200.00<>
★★ (includes NR)	0%≦n<10%	2,181.81 <p≦2,424.24< td=""><td>7,200.00<p≦8,000.00< td=""></p≦8,000.00<></td></p≦2,424.24<>	7,200.00 <p≦8,000.00< td=""></p≦8,000.00<>
*	(Normative reference not achieved)	P>2,424.24	P>8,000.00

Note: TEER (Telecommunications Energy Efficiency Ratio)

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption for 8,000 Gbps DWDM(100 Gbps)	<u>Average power</u> <u>consumption for 16,000</u> <u>Gbps DWDM(200 Gbps)</u>
****	n≧30%	P≦6,511.62	<u>P≦6,256.97</u>
****	20%≦n<30%	6,511.62 <p≦7,441.85< td=""><td><u>6,256.97<p≦7,150.83< u=""></p≦7,150.83<></u></td></p≦7,441.85<>	<u>6,256.97<p≦7,150.83< u=""></p≦7,150.83<></u>
***	10%≦n<20%	7,441.85 <p≦8,372.08< td=""><td><u>7,150.83<p≦8,044.68< u=""></p≦8,044.68<></u></td></p≦8,372.08<>	<u>7,150.83<p≦8,044.68< u=""></p≦8,044.68<></u>
★★ (includes NR)	0%≦n<10%	8,372.08 <p≦9,302.32< td=""><td><u>8,044.68<p≦8,938.54< u=""></p≦8,938.54<></u></td></p≦9,302.32<>	<u>8,044.68<p≦8,938.54< u=""></p≦8,938.54<></u>
*	(Normative reference not achieved)	P>9,302.32	<u>P>8,938.54</u>

Note: TEER (Telecommunications Energy Efficiency Ratio)

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption for 40 Gbps CWDM(10 Gbps)
****	n≧30%	P≦49.11
****	20%≦n<30%	49.11 <p≦56.13< td=""></p≦56.13<>
***	10%≦n<20%	56.13 <p≦63.15< td=""></p≦63.15<>
★★ (includes NR)	0%≦n<10%	63.15 <p≦70.17< td=""></p≦70.17<>
*	(Normative reference not achieved)	P>70.17

Note: TEER (Telecommunications Energy Efficiency Ratio)

[4] Converged Packet Optical Equipment

Figure of merit: E = Maximum throughput (Gpbs)/average power consumption (W)

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption (W) with 50Gbps packet function and 50Gbps TDM function
****	n≧30%	P≦346.87
****	$20\% \le n < 30\%$	346.87 <p≦396.43< td=""></p≦396.43<>
***	$10\% \le n < 20\%$	396.43 <p≦445.98< td=""></p≦445.98<>
★★ (includes NR)	$0\% \le n < 10\%$	445.98 <p≦495.54< td=""></p≦495.54<>
*	Normative reference not achieved	P>495.54

Assessment	Rate of reduction vs.	Average power consumption (W) with 1,600Gbps
Scule	at NR	packet function and 1,000000p3 1D10 function
*****	n≧30%	P≦5,423.72
****	$20\% \le n < 30\%$	5,423.72 <p≦6,198.54< td=""></p≦6,198.54<>
***	$10\% \le n < 20\%$	6,198.54 <p≦6,973.36< td=""></p≦6,973.36<>
★★ (includes NR)	$0\% \le n < 10\%$	6,973.36 <p≦7,748.18< td=""></p≦7,748.18<>
*	Normative reference not achieved	P>7,748.18

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption (W) with 8,000 Gbps packet function and 8,000 Gbps WDM function
*****	n≧30%	P≦29,787.23
****	$20\% \le n < 30\%$	29,787.23 <p≦34,042.55< td=""></p≦34,042.55<>
***	$10\% \le n < 20\%$	34,042.55 <p≦38,297.87< td=""></p≦38,297.87<>
★★ (includes NR)	$0\% \le n < 10\%$	38,297.87 <p≦42,553.19< td=""></p≦42,553.19<>

*	Normative reference	P>42.553.19
	not achieved	

[5] GE-PON

OLT figure of merit: E= average energy consumption (W)/ total no. of lines ONU figure of merit: P= average energy consumption (W) NR=normative reference

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption for OLT (AC power source) (W)	Average power consumption for OLT (DC power source) (W)
****	n≧30%	E≦0.280	E≦0.238
****	20%≦n<30%	0.280 <e≦0.320< td=""><td>0.238<e≦0.272< td=""></e≦0.272<></td></e≦0.320<>	0.238 <e≦0.272< td=""></e≦0.272<>
***	10%≦n<20%	0.320 <e≦0.360< td=""><td>0.272<e≦0.306< td=""></e≦0.306<></td></e≦0.360<>	0.272 <e≦0.306< td=""></e≦0.306<>
★★ (includes NR)	0%≦n<10%	$0.360 < E \le 0.400$	0.306 <e≦0.340< td=""></e≦0.340<>
*	(Normative reference not achieved)	E>0.400	E>0.340

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption for ONU (100 Mbps)	Average power consumption for ONU (1 Gbps)
****	n≧30%	P≦1.988	P≦2.625
****	20%≦n<30%	1.988 <p≦2.272< td=""><td>2.625<p≦3.000< td=""></p≦3.000<></td></p≦2.272<>	2.625 <p≦3.000< td=""></p≦3.000<>
***	10%≦n<20%	2.272 <p≦2.556< td=""><td>3.000<p≦3.375< td=""></p≦3.375<></td></p≦2.556<>	3.000 <p≦3.375< td=""></p≦3.375<>
★★ (includes NR)	0%≦n<10%	2.556 <p≦2.840< td=""><td>3.375<p≦3.750< td=""></p≦3.750<></td></p≦2.840<>	3.375 <p≦3.750< td=""></p≦3.750<>
*	(Normative reference not achieved)	P>2.840	P>3.750

[6] WiMAX base station equipment

Figure of merit: E= total transmission power (W)/average primary input power (W)

Assessment scale	Rate of reduction vs. power consumption at NR	Reference average power consumption (W) for integrated 10W equipment (single) (Note 1)	Reference average power consumption (W) for integrated 10W equipment (dual) (Note 1)
****	n≧30%	P≦111.09	P≦145.39
****	20%≦n<30%	111.09 <p≦126.96< td=""><td>145.39<p≦166.16< td=""></p≦166.16<></td></p≦126.96<>	145.39 <p≦166.16< td=""></p≦166.16<>
***	$10\% \le n < 20\%$	126.96 <p≦142.83< td=""><td>166.16<p≦186.93< td=""></p≦186.93<></td></p≦142.83<>	166.16 <p≦186.93< td=""></p≦186.93<>
★★ (includes NR)	0%≦n<10%	142.83 <p≦158.70< td=""><td>186.93<p≦207.70< td=""></p≦207.70<></td></p≦158.70<>	186.93 <p≦207.70< td=""></p≦207.70<>
*	(Normative reference not achieved)	P>158.70	P>207.70

Assessment scale	Rate of reduction vs. power consumption at NR	Reference average power consumption (W) for integrated 5W equipment (single) (W) (Note 2)	
****	n≧30%	P≦119.86	
****	20%≦n<30%	119.86 <p≦136.99< td=""><td></td></p≦136.99<>	
***	10%≦n<20%	136.99 <p≦154.11< td=""><td></td></p≦154.11<>	
★★ (includes NR)	0%≦n<10%	154.11 <p≦171.20< td=""><td></td></p≦171.20<>	
*	(Normative reference not achieved)	P>171.20	

Notes

When transmission output is 10.0 W X 2
 When transmission output is 5.0 W X 2

[7] LTE Base Station Equipment

Figure of merit: E=total transmission output (W)/average primary input power (W)

Assessment	Rate of reduction	Average power	Average power
scale	vs. power	consumption normative	consumption normative
	consumption at NR	reference (W) for	reference (W) for
		segregated 20W	integrated 20W
		equipment (Note 1)	equipment (Note 1)
****	n≧30%	P≦413.38	P≦610.02
****	20%≦n<30%	413.38 <p≦472.44< td=""><td>610.02<p≦697.16< td=""></p≦697.16<></td></p≦472.44<>	610.02 <p≦697.16< td=""></p≦697.16<>
***	10%≦n<20%	472.44 <p≦531.49< td=""><td>697.16<p≦784.31< td=""></p≦784.31<></td></p≦531.49<>	697.16 <p≦784.31< td=""></p≦784.31<>
★★ (includes NR)	$0\% \le n < 10\%$	531.49 <p≦590.55< td=""><td>784.31<p≦871.46< td=""></p≦871.46<></td></p≦590.55<>	784.31 <p≦871.46< td=""></p≦871.46<>
*	Normative reference not achieved	P>590.55	P>871.46

Assessment	Rate of reduction	Average power	
scale	vs. power	consumption normative	
	consumption at NR	reference (W) for	
		segregated 10W	
		equipment (Note 2)	
****	n≧30%	P≦607.81	
****	$20\% \le n < 30\%$	607.81 <p≦694.64< td=""><td></td></p≦694.64<>	
***	$10\% \le n < 20\%$	694.64 <p≦781.47< td=""><td></td></p≦781.47<>	
★★ (includes NR)	$0\% \le n < 10\%$	781.47 <p≦868.31< td=""><td></td></p≦868.31<>	
*	Normative reference not	P>868.31	

achieved		
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Notes

1: When transmission output is $20 \text{ W} \times 2$

2: When transmission output is $10 \text{ W} \times 2$

[8] 3G/LTE Multi-Radio Base Station Equipment

Figure of merit: E=total transmission output (W)/average primary input power (W)

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption normative reference (W) for segregated 10W equipment (Note 1)	
****	n≧30%	P≦237.28	
****	$20\% \le n < 30\%$	237.28 <p≦271.18< td=""><td></td></p≦271.18<>	
***	$10\% \le n < 20\%$	271.18 <p≦305.08< td=""><td></td></p≦305.08<>	
★★ (includes NR)	$0\% \le n < 10\%$	305.08 <p≦338.98< td=""><td></td></p≦338.98<>	
*	Normative reference not achieved	P>338.98	

Notes

1: When transmission output is $10 \text{ W} \times 2$

[9] LTE-Advanced Base Station Equipment

Figure of merit: E= maximum throughput (Mbps)/average power consumption (W)

Assessment	Rate of reduction	Average power	
scale	vs. power	consumption normative	
	consumption at NR	reference (W) for	
		segregated 10W	
		equipment (24 sectors	
		type) (Note 1)	
*****	n≧15%	P≦6,737.11	
****	$10\% \le n < 15\%$	6,737.11 <p≦7,133.41< td=""><td></td></p≦7,133.41<>	
***	$5\% \le n < 10\%$	7,133.41 <p≦7,529.71< td=""><td></td></p≦7,529.71<>	
**			
(includes	$0\% \le n < 5\%$	7,529.71 <p≦7,926.02< td=""><td></td></p≦7,926.02<>	
NR)			
*	Normative		
	reference not	P>7,926.02	
	achieved		

Notes

1: When transmission output is $10 \text{ W} \times 2$

[10] External Power Source Figure of merit: Average conversion efficiency $\eta = (\eta_{25} + \eta_{50} + \eta_{75} + \eta_{100})/4$

	U N	/
Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η (%)
****	n≧30%	$\eta \ge 0.05778 \ln(P_{no}) - 0.0012 P_{no} + 0.746$
****	$20\% \le n < 30\%$	$\begin{array}{c} 0.0628 \ln(P_{no}) - 0.00129 P_{no} + 0.719 \leq \eta < 0.05778 \ln(P_{no}) - \\ 0.0012 P_{no} + 0.746 \end{array}$
***	$10\% \le n < 20\%$	$\begin{array}{c} 0.0674 \ln(P_{no}) \text{ - } 0.00136 \ P_{no} \text{ + } 0.694 \leq \eta \text{ < } 0.0628 \ \ln(P_{no}) \text{ - } \\ 0.00129 \ P_{no} \text{ + } 0.719 \end{array}$
★★ (includes NR)	$0\% \le n < 10\%$	$\begin{array}{c} 0.071 \ln(P_{no}) \text{ - } 0.0014 \ P_{no} \text{ + } 0.67 \leq \eta \text{<} 0.0674 \ln(P_{no}) \text{ - } 0.00136 \\ P_{no} \text{ + } 0.694 \end{array}$
*	Normative reference not achieved	$\eta < 0.071 \ln(P_{no}) - 0.0014 P_{no} + 0.67$

Standard voltage (6V and above)

(Note) P_{no}: Nameplate Output Power (W)

Low voltage (less than 6V)

0	1 /	
Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η (%)
****	n≧30%	$\eta \ge 0.00694 \ln(P_{no}) - 0.00127 P_{no} + 0.694$
****	20%≦n<30%	$\begin{array}{c} 0.0745 \ln(P_{no}) - 0.00130 P_{no} + 0.664 \leq \eta < 0.00694 \ln(P_{no}) - \\ 0.00127 P_{no} + 0.694 \end{array}$
***	$10\% \le n < 20\%$	$\begin{array}{c} 0.0793 \ln(P_{no}) \text{ - } 0.00136 \ P_{no} \text{ + } 0.636 & \leq \eta \text{ < } 0.0745 \ln(P_{no}) \text{ - } \\ 0.00130 \ P_{no} \text{ + } 0.664 \end{array}$
★★ (includes NR)	$0\% \le n < 10\%$	$\begin{array}{c} 0.0834 \ln(P_{no}) - 0.0014 P_{no} + 0.609 \leq \eta < 0.0793 \ln(P_{no}) - \\ 0.00136 P_{no} + 0.636 \end{array}$
*	Normative reference not achieved	$0.0834 \ln(P_{no}) - 0.0014 P_{no} + 0.609$

(Note) Pno: Nameplate Output Power (W)

[11] Rectifier

Figure of merit: TEER=($\eta_{30} + \eta_{40} + \eta_{50} + \eta_{60+} \eta_{70+} \eta_{80}$)/6 x 1,000

Single-phase AC100V input, 48V output rectifier

	<u>_</u>	
Assessment scale	Rate of reduction vs. power consumption at NR	Figure of merit(TEER)
****	n≧45%	$TEER \ge 926$
****	$30\% \le n < 45\%$	907≦TEER<926
***	$15\% \le n < 30\%$	889≦TEER<907
★★ (includes NR)	$0\% \le n < 15\%$	872≦TEER<889
*	Normative reference not achieved	TEER<872

Assessment scale	Rate of reduction vs. power consumption at NR	Figure of merit (TEER)
****	n≧45%	TEER≧929
****	$30\% \le n < 45\%$	911≦TEER<929
***	$15\% \le n < 30\%$	894≦TEER<911
★★ (includes NR)	$0\% \le n < 15\%$	878≦TEER<894
*	Normative reference not achieved	TEER<878

Single-phase AC200V input, 48V output rectifier

Three-phase AC200V/400V input, 48V output rectifier (output power capacity of less than 5kW)

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧30%	TEER≧921
****	$20\% \le n < 30\%$	911≦TEER<921
***	$10\% \le n < 20\%$	901≦TEER<911
★★ (includes NR)	$0\% \le n < 10\%$	891≦TEER<901
*	Normative reference not achieved	TEER<891

Three-phase AC200V/400V input, 48V output rectifier (output power capacity of 5kW or more)

Assessment scale	Rate of reduction vs. power consumption at NR	Figure of merit(TEER)
****	n≧30%	$TEER \ge 934$
****	$20\% \le n < 30\%$	925≦TEER<934
***	$10\% \le n < 20\%$	916≦TEER<925
★★ (includes NR)	$0\% \le n < 10\%$	908≦TEER<916
*	Normative reference not achieved	TEER<908

Three-phase AC200V/400V input, 380V output rectifier

Assessment scale	Rate of reduction vs. power consumption at NR	Figure of merit(TEER)
$\star \star \star \star \star$ n \geq 30%		$TEER \ge 960$
****	$20\% \le n < 30\%$	955≦TEER<960
***	$10\% \le n < 20\%$	949≦TEER<955
★★ (includes NR)	$0\% \leq n < 10\%$	944≦TEER<949
*	Normative reference not achieved	TEER<944

[12]UPS

Figure of merit: average efficiency $\eta = (\eta_{25} + \eta_{50} + \eta_{75} + \eta_{100})/4$

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧93.7
****	$30\% \le n < 45\%$	92.2≦η<93.7
***	$15\% \le n < 30\%$	90.6≦ η <92.2
★★ (includes NR)	$0\% \le n < 15\%$	89.2≦η<90.6
*	Normative reference not achieved	η<89.2

Three-phase 200V with trans, 200 kVA or above and less than 500 kVA.

Three-phase 200V with trans, 500 kVA or above.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧94.2
****	$30\% \le n < 45\%$	92.7≦ η <94.2
***	$15\% \le n < 30\%$	91.3≦η<92.7
★★ (includes NR)	0%≦n<15%	89.9≦η<91.3
*	Normative reference not achieved	η<89.9

Three-phase 415V with trans, 500 kVA or above.

^		
A	Rate of reduction vs. power	Average conversion efficiency n
Assessment scale	consumption at NR	Average conversion enterency //
****	n≧45%	η≧94.8
****	$30\% \le n < 45\%$	93.5≦ η <94.8
***	$15\% \le n < 30\%$	92.2≦ η <93.5
★★ (includes NR)	0%≦n<15%	90.9≦η<92.2
*	Normative reference not achieved	η<90.9

Three-phase 200V transless, 200 kVA or above and less than 500 kVA.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η	
****	n≧30%	η≧94.8	
****	$20\% \le n < 30\%$	94.1≦ 1 <94.8	
***	$10\% \le n < 20\%$	93.4≦η<94.1	
$\star \star$ (includes NR)	$0\% \le n < 10\%$	92.7≦ η <93.4	
*	Normative reference not achieved	η<92.7	

Three-phase 415V transless, 200 kVA or above and less than 500 kVA.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧97.5
****	$30\% \le n < 45\%$	96.8≦η<97.5
***	$15\% \le n < 30\%$	96.1≦η<96.8
$\star \star$ (includes NR)	0%≦n<15%	95.5≦η<96.1
*	Normative reference not achieved	η<95.5

Three-phase 415V transless, 500 kVA or above.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧97.9
****	$30\% \le n < 45\%$	97.3≦ η <97.9
***	$15\% \le n < 30\%$	96.8≦η<97.3
$\star \star$ (includes NR)	0%≦n<15%	96.2≦η<96.8
*	Normative reference not achieved	η<96.2

[13]Servers

<< Assessment standard for active state>>

Figure of merit: E = overall ssj_ops/watt

= $\sum ssj_ops / \sum power consumption (W)$

Assessment	Rate of reduction vs.	Energy efficiency ratio	E per category
scale	power consumption at NR	H, I, J,L	A - G, K
****	n≧82%	E≧20,775	
****	$76\% \le n < 82\%$	$15,050 \le E < 20,775$	
***	$61\% \le n < 76\%$	$9,325 \le E < 15,050$	
★★ (includes NR)	0%≦n<61%	3,600≦E<9,325	TBD
*	(Normative reference not achieved)	E<3,600	

(Note): Comparison of the rate of reduction against power consumption at normative reference among equipment with similar processing capacity ($\sum ssj_ops value$).

(Note): TBD= To be determined

<< Assessment standard for idle state>>

Figure of merit: Energy efficiency ratio En=power consumption (W)/Composite theory performance (GTOPS)

Assessment Scale	Rate of reduction vs. power consumption at NR	Energy efficiency ratio per category En (W/GTOPS) All categories (A-L)	Notes
****	n≧60%	$En \leq E \times 0.4$	See Normative
****	$40\% \le n < 60\%$	$E \times 0.4 \le E \times 0.6$	References
***	$20\% \le n < 40\%$	$E \times 0.6 \le E \times 0.8$	for E
★★ (includes NR)	0%≦n<20%	$E \times 0.8 \le E$	(W/GTOPS) Normative
*	Normative reference not achieved	E <en< td=""><td>References</td></en<>	References

[14]Storage Equipment Figure of merit: Energy efficiency ratio En= power consumption (W)/storage capacity (GB)

Assessment Scale	Rate of reduction vs. power consumption at NR	Energy consumption efficiency En	Notes
****	n≧60%	$En \leq E \times 0.4$	Normative references $E_{\rm c}(W/CR)$ are based
****	$40\% \le n < 60\%$	$E \times 0.4 < En \leq E \times 0.6$	on the table under
***	$20\% \le n < 40\%$	$E \times 0.6 \le E \times 0.8$	5.2.8.1 (3) Normative
★★ (includes NR)	$0\% \le n < 20\%$	$E \times 0.8 < En \leq E$	Kelerences.
*	Normative reference not achieved	E <en< td=""><td></td></en<>	

4.2 Effort and Assessment Criteria for the "Eco ICT Logo" (for details, see 7.2)

Type of effort		Assessment criteria
Preparation of a voluntary ecological action plan	1	Has a voluntary ecological action plan stipulating various efforts directed at reducing CO ₂ emissions through energy conservation been created and is it being executed?
	2	Does the ecological policy include specific efforts stipulating numerical targets for the reduction of CO ₂ emissions through energy conservation?
	3	Is the ecological policy documented and disseminated inside and outside of the company? Does the company carry out activities to inform and enlighten its employees? Is the company working to raise ecological awareness?

<Mandatory items>

		Does the company disclose the individual activities
	4	listed in its voluntary ecological action plan along with
	4	implementation and achievement status to the general
		public?
Efforts		In regard to ICT equipment and data center services,
relating to		has the company prepared a procurement standard
procurement		based on the assessment specifications outlined in this
Proceeding	5	Guideline that takes energy conservation into
		consideration? Is procurement carried out in
		accordance to the standard?
-		Is the company cognizant of operation in
	6	is the company cognizant of energy conservation in
	0	procuring once equipment, supplies and logistics
D		(e.g., green purchasing)?
Promotion	_	In relation to efforts to reduce CO_2 emissions through
regime	7	energy conservation, has the company assigned a
-		person or department responsible for such matters?
		Is there a regime in place using internal audits or other
	8	means to keep appropriate track of the implementation
	0	of measures and achievement of targets set forth in the
		voluntary ecological action plan?
<recommended it<="" td=""><td>ems</td><td>></td></recommended>	ems	>
Other	0	Are ecologically-friendly efforts being made other than
ecological	9	activities to save energy?

ecological		activities to save energy?		
activities	10	Is the company involved in ecological preservation		
		activities in conadoration with local communities?		

4.3 Image of Guideline Implementation

(1) Flow leading to public disclosure of assessment results by vendors and data center operators as well as how procurement by telecommunications services providers would proceed.





Use of the "Eco ICT Logo" shall be limited to the following:

- > Telecommunications services providers.
- >A person who operates a telecommunications business defined under the Telecommunications Business Act, other than Telecommunications services providers.
- >Neither of the above, but operating business related to telecommunications services using substantial ICT equipment AND recognized by the Council.

5. Assessment Standards

5.1 Assessment standards

5.1.1 Scope

The scope of ICT equipment covered in this Guideline shall be equipment used mainly by telecommunications services providers to provide their services, covering network equipment, power equipment, server equipment and storage equipment. The addition and/or elimination of equipment categorization and more detailed sub-categorizations shall be reviewed as deemed appropriate.

Equipment categorization	Category	Equipment covered	Notes
Broadband routers (with	TBD	TBD	
VNP functions)			
Broadband routers	A (WAN : Ether、	Wired router	Equipment
(without VNP	LAN : Ether)		classifications and sub- categories shall be aligned with top runner assessment
functions)	B (WAN : Ether,	Wired routers with	
	LAN : VoIP)	VoIP	
	C (WAN : Ether,	Wireless routers	
	LAN : wireless)		
	D (WAN : ADSL,	ADSL routers	
	LAN : Ether)		

[Network equipment]

	E (WAN : ADSL, LAN : VoIP)	ADSL routers with VoIP	standard	
	F (WAN : ADSL.	Wireless ADSL		
	LAN : wireless)	routers		
Layer-2 switch (chassis-type)	TBD	TBD		
Layer-2 switch (box-type)	A (with SNMP management and IP filtering functions)	Layer-2 switch	Equipment classifications and sub-	
	B (with SNMP management, w/o IP filtering functions)	Layer-2 switch	categories shall be aligned with top runner assessment standard	
	C (With web or other management functions)	Layer-2 switch		
	D (without management functions)	Layer-2 switch		
Transport equipment	WDM equipment	DWDM equipment	Equipment categorizations shall be aligned with ATIS	
		CWDM equipment	Equipment categorizations shall be aligned with ATIS	
	Converged packet optical equipment	Converged packet optical equipment (packet & TDM functions)		
		Converged packet optical equipment (packet & TDM & WDM functions)		
PON equipment	GE-PON	OLT ONU		
Broadband base station equipment	WiMAX	WiMAX base stations		
	LTE	LTE base stations		
	3G/LTE	3G/LTE Multi-Radio Base		
	LTE-Advanced	Station Equipment LTE-Advanced base stations		
[Power equipment]

Equipment categorization	Category	Equipment name	Notes
Power equipment	External power source	AC adapter	Excludes rechargers
	Rectifier equipment	Rectifier equipment	
	UPS	UPS	

[Server equipment]

Equipment categorization	Category	Equipment name	Notes
Server equipment	А	Dedicated CISC	Equipment
		(n<32 I/O slots)	sub-categorizaties
	В	Dedicated CISC ($n \ge$	shall be aligned with
		32 I/O slots)	top runner
	С	RISC (n<8 I/O slots)	assessment standard
	D	RISC ($8 \le n < 40 \text{ I/O}$	
		slots)	
	Е	RISC ($40 \le n I/O$	
		slots)	
	F	IA64 (n<10 I/O slots)	
	G	IA64 (n≧10 I/O	
		slots)	
	Н	IA32 (0 I/O slots)	
	Ι	IA32 (1≦n<7 I/O	
		slots, n<2 CPU	
		sockets)	
	J	IA32 (1≦n<7 I/O	
		slots, $2 \leq n < 4$ CPU	
		sockets)	
	K	IA32 (1≦n<7 I/O	
		slots, $n \ge 4$ CPU	
		sockets)	
	L	IA32 (n \geq 7 I/O slots)	

[Storage equipment]

Equipment categorization	Category	Equipment covered	Notes
Storage equipment	М	For mainframe	Equipment
(subsystems)		servers	categorization and
	Ν	For use other than	sub-categorizaties
		mainframe servers	shall be aligned
			with top runner
			assessment
			standard

Note: TBD=To be determined

5.1.2 Stance on other assessment standards

In regard to specific assessment standards for the equipment subject to this Guideline, in cases where appropriate standards covering practices by telecommunications services providers already existed, those existing standards were adopted. For equipment with no existing assessment standards, assessment standards for similar equipment were used as reference.

5.2 Equipment Definitions, Figures of Merit, Normative References and Measurement Methods

5.2.1 Routers

5.2.1.1. Broadband router

(1) Equipment Definition

Among routers which relay data on ISO's OSI (Open System Interconnection) layer 3 (network layer) using IP addresses included in the destination information of packets, routers with an effective transmission rate of no more than 200 Mbps (no more than 100 Mbps for wireless routers) and:

- having no VPN (Virtual Private Network) functions; and
- having no more than two phone lines if equipped with VoIP (Voice over Internet Protocol) functions.

The following is the definition of effective transmission rate.

Non-wireless: the sum of maximum down link and up link transmission rates (bps) including overhead (control information)

Wireless: down link transmission rate (bps) including overhead (control information)

Broadband routers as defined by the Energy Conservation Law

Equipment Definition of Energy Conservation Law

Equipment for sending and receiving electronic telecommunication signals having the function of transmitting electronic telecommunication signals to a path determined to be the most appropriate depending on the state of each path etc. from among available paths to reach the destination equipment (limited to those used almost exclusively for the Internet; when having a communication terminal equipment connect to the Internet through a phone line, excluding those used to connect to the Internet by calling an Internet service provider to have the said communication terminal equipment connect to the Internet, and others set forth under Ministerial Ordinance Number 39 from the Ministry of Economy, Trade and Industry^{*1}).

*1: Exclusions provided under Ministerial Ordinance Number 39 from the Ministry of Economy, Trade and Industry are as follows.

- [1] Those that do not transmit/exchange Internet protocol packets
- [2] In transmitting Internet protocol packets, those with the maximum value of the sum of the number of signal bits of the said packets exceeding 200Mbps/s (excluding those specified in 6))

(Those having an effective transmission rate exceeding 200Mbit/s)

- [3] Those that do not allow asynchronous transfer mode equipment to be easily removed.
- [4] Those having the function of impressing high-frequency current of 10kHz or higher.
- [5] Those having at least three of those used for sending and receiving sound among connection ports for sending and receiving electric telecommunications signals (excluding those using Internet protocol)
- [6] In transmitting Internet protocol packets wirelessly, those with the maximum value of the

sum of the number of signal bits of the said packets exceeding 100Mbit/s

[7] Those having the function to use satellites

- [8] Those having the function of transmitting 53 or more subcarrier frequencies by using orthogonal frequency division multiplexing method.
- [9] Those having the function of setting a Virtual Private Network.

[10] Those designed to be built into an electronic computer or other item.

(2) Figure of Merit

Adopt the assessment standard based on the top runner method (2009 Ministerial Notification No. 226 from the Ministry of Economy, Trade and Industry) for routing equipment specified in the Energy Conservation Law. Specific figures of merit are as follows.

Energy efficiency ratio E = Power consumption P (W)

(3) Normative References

[1] Method for determining normative references

Adopt the assessment standard based on the top runner method for routing equipment specified in the Energy Conservation Law.

[Normative References]

	S	pecific	normat	tive re	ferences	area	as fo	ollows	
--	---	---------	--------	---------	----------	------	-------	--------	--

Category			
Type of interface on WAN side	Type of interface on LAN side	Category name	Energy efficiency ratio E(W)
Ethernet	Ethernet only	А	4.0
only	Ethernet with VoIP	В	5.5
	Ethernet with	С	When transmitting on wireless 2.4GHz
	wireless		band only:
	function		$E = 0.10 \times X2 + 3.9$
			When transmitting on wireless 5 GHz
			$E=0.15 \times X5 + 3.9$
			When transmitting the two frequencies mentioned above simultaneously: $E=0.10 \times X2 + 0.15 \times X5 + 5.1$
ADSL only	Ethernet only	D	7.4
	Ethernet with VoIP	Е	7.4
	Ethernet with	F	8.8
	wireless		
	tunction		

Notes

^{1: &}quot;WAN side" refers to the side of the port connected to a network such as the Internet. "LAN side" refers to the side of the port connected to other equipment.

^{2:} E, X2 and X5 represent the following values:

E: Reference energy efficiency ratio (unit: W) X2: 2.4 GHz wireless output (unit: mW/MHz) X5: 5 GHz wireless output (unit: mW/MHz)

3: In Category C, for equipment capable of transmission by selecting 2.4 or 5 GHz wireless band, use the calculation formula for the frequency band with a higher effective transmission rate in (4) Measurement Methodology to calculate the value for the reference energy efficiency ratio.

[Approximate Date for Achieving Normative References]

The end of Fiscal Year 2010 [adopted the target fiscal year per the reference on routing equipment (2009 Ministerial Notification No. 226 from the Ministry of Economy, Trade and Industry), specified in the Energy Conservation Law]

[2] Assessment scale references

The rate of reduction versus the normative reference shall determine the threshold. In the assessment scale, the rank covering the normative reference shall be $\star \star$.

	Rate of	Energy efficiency ratio per					Notes		
Assessment	reduction vs.		cate	gory	En	(<u>W</u>)		ivotes	
scale	power							NR E (W) per table under	
Scale	consumption	Α	В	С	D	Е	F	(3) [1] Normative	
	at NR							References	
****	n≧30%	En≦E×0.7			7				
****	$20\% \le n < 30\%$	$E \times 0.7 \le E \times 0.8$			×0.8				
***	$10\% \le n < 20\%$		E×	0.8 <e< td=""><td>n≦E</td><td>×0.9</td><td></td><td></td></e<>	n≦E	×0.9			
★★ (includes NR)	0%≦n<10%	E×0.9 <en≦e< td=""><td>Е</td><td></td></en≦e<>			Е				
	(Normative								
*	reference not			Er	נ>E				
	achieved)								

(Note) In calculating energy efficiency ratio, calculate values to the first decimal place.

(4) Measurement Methodology

Measurement methods are as follows and coincide with those set forth under the 2009 Ministerial Notification Number 226 from the Ministry of Economy, Trade and Industry, which sets forth, among other things, assessment standards for vendors in relation to the stipulations of the Energy Conservation Law for routing equipment.

- [1] To measure energy efficiency, measure power consumption at maximum effective transmission rate. Conditions for measuring power consumption and maximum effective transmission rate are as follows.
 - (a) The maximum value of the sum of the number of packets per second output to the WAN side and the number of packets per second output to the LAN side.

- (b) A 1,500 byte packet shall be transmitted for measurement. However, if the transmission of a 1,500 byte packet is not possible, use the maximum packet length.
- (c) Use IP packets for unicast transmission.
- (d) The data pattern of the header section is arbitrary. Use 0 for all data patterns of the packet to be measured.
- (e) When measuring power consumption, it shall be acceptable to transmit the minimum packets suited to the maximum effective transmission rate of the router.
- (f) Enable routing of packets received by the router. Routing is the relay of data to a destination determined by the IP address on a network using the layer 3 (network layer) of a model indicated in the Basic Reference Model 6 of Open Systems Interconnection stipulated in Japan Industrial Standard X5003. Upon performing the relay, the TTL value, which is the header information associated with an IP address, shall be deducted and relayed to a different data link.
- (g) Removable components and functions that can be disabled without sacrificing the basic performance and functioning of the router shall be removed or disabled, respectively, for the measurement.
- (h) Ports not involved in the measurement can be linked down.
- (i) The peripheral temperature shall fall within the range of 16 to 32°C. However, for wireless routers the range shall be 0 to 40°C.
- (j) Power voltage shall be within the range of ±10% of rated input voltage (100V or 200V).
- (k) Use rated frequency for AC power source frequency.
- (l) Conduct measurements with the router in a stationary state.
- (m) For products using an AC power source, measure power consumption at the power plug.
- (n) In the case of AC power, use effective power as power consumption.
- [2] Use the following conditions for routers with wireless capacity
 - (a) Wireless transmission direction shall be from the WAN side to the LAN side.
 - (b) If there are multiple wireless LAN interfaces capable of operating simultaneously, operate them simultaneously.
 - (c) Use a configuration that disables functions such as data compression and output power adjustment.
 - (d) Use maximum link speed of the router.
 - (e) Take measurements on the tested equipment using packet generators.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the

vendor according to 5.2.1.1(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result

Values specified in 2009 Ministerial Notification No. 226 from the Ministry of Economy, Trade and Industry (2010 METI Notification No. 149 revised version) shall apply.

The specific range of permissible variance in assessment results shall be x/115 of the energy efficiency ratio of individual equipment, where x is a figure of 100 or more.

As indicated in the diagram below, this means that where the assessment result of the individual equipment is 100, the measurement value of the energy efficiency ratio (energy consumption (W)) cannot exceed 115.

In other words, the range of permissible variance of the indicated value is +15% or less.



5.2.2 Switching Equipment

5.2.2.1. Layer 2 (L2) Switches (box type)

(1) Equipment Definition

Among equipment which relays data on the network using ISO's OSI (Open System Interconnection) layer 2 (data link layer), box type equipment using the MAC address included in the destination information of the packet and has three or more communication ports.

Equipment Definition in Energy Conservation Law

Equipment for sending and receiving electronic telecommunication signals having the function of transmitting electronic telecommunication signals to a path determined separately for each destination from among two or more paths capable of transmission (limited to those used almost exclusively for the Internet; however excluding those capable of wireless telecommunication and others set forth under Ministerial Ordinance Number 39 from the Ministry of Economy, Trade and Industry^{*1}).

^{*1:} Exclusions provided under Article 48 (21) of Ministerial Ordinance Number 39 from the Ministry of Economy, Trade and Industry (exclusions from specified equipment) are as follows.

- [1] Those that do not transmit and switch Ethernet frames
- [2] Those that transmit and switch Internet protocol packets
- [3] Those with connection ports for sending and receiving electric telecommunications signals having at least half of the connection ports utilize a two line connection
- [4] Those designed to be built into a housing, electronic computer or other item.
- [5] Those for controlling equipment that relay electrical telecommunications signal wirelessly
- [6] Those set forth by the Minister of Economy Trade and Industry that are primarily for supplying power.

(2) Figure of merit

Adopt the assessment standard based on the top runner method for switching equipment (2009 Ministerial Notification No. 227 from the Ministry of Economy, Trade and Industry), identified as a specified equipment in the Energy Conservation Law.

Energy efficiency E = power consumption/maximum effective transmission rate (W /Gbps)

(3) Normative References

[1] Method for determining normative references

Adopt the assessment standard based on the top runner method for switching equipment, identified as specified equipment in the Energy Conservation Law.

[Normative References]

Specific normative references are as follows.

However, the normative references do not apply to models with P_d/P_{SA} , P_d/P_{SB} , P_d/P_{SC} or P_d/P_{SD} in excess of 16, per the following.

	Normative Reference:			
Management	Type of management	IP filtering	Category	Standard energy
functions	functions	functions	name	efficiency ratio
				(W/Gbps)
With	With SNMP functions	With IP filtering	А	$E = (\alpha_A + P_A)/T$
management		functions		
functions		No IP filtering	В	$E = (\alpha_B + P_B)/T$
		functions		
	With Web		С	$E = (\alpha_C + P_C)/T$
	management and			
	other management			
	functions			
No			D	$E = (\alpha_D + P_D)/T$
management				
functions				

Notes

- 1: "Management functions" refers to functions for a network manager to acquire such information as network components and communications status for the purpose of operating the network efficiently.
- 2: Values for α_A , α_B , α_C and α_D shall be calculated using the following formula. $\alpha_A = 0.578 \times X1 + 1.88 \times X2 + 15.9 \times X3 + \beta_A$

 $\begin{array}{l} \alpha_{B} {=} 0.375 \times X1 \ {+} \ 1.88 \times X2 \ {+} \ \beta_{B} \\ \alpha_{C} {=} 0.375 \times X1 \ {+} \ 1.133 \times X2 \ {+} \ \beta_{C} \\ \alpha_{D} {=} 0.272 \times X1 \ {+} \ 1.133 \times X2 \ {+} \ \beta_{D} \end{array}$

The value for α_A , α_B , α_C or α_D shall be 3 in cases where the equipment has only a 100 Mbps port, or where the equipment has a 100 Mbps port and a 1 Gbps port and the value for α_A , α_B , α_C and α_D is less than 3. Furthermore, the value for α_A , α_B , α_C or α_D shall be 4.5 in the event the equipment has only a 1Gbps port and the value for α_A , α_B , α_C or α_D is less than 4.5.

- 3: The value for X1 is the number of ports (unit: number of ports) with a line speed of 100 Mbps. The value for X2 is the number of ports (unit: number of ports) with a line speed of 1 Gbps. The value for X3 is the number of ports (unit: number of ports) with a line speed of 10 Gbps.
- 4: Use the values provided in the following table for β_A , β_B , β_C and β_D based on the appropriate categorization.

	$\beta_{\rm A}$	$\beta_{\rm B}$	$\beta_{\rm C}$	$\beta_{\rm D}$
Has only a 100Mbps port	3.976	3.4	3.4	0.824
Has only a 1Gbps port	9.94	-5.07	-2.074	-2.074
Has only a 10Gbps port	0	0	0	0
Has a 100Mbps port and one 1 Gbps port	2.276	1.7	2.447	1.494
Has a 100Mbps port and two or more 1	0.576	0	1.494	1.494
Gbps ports				
Has a 1Gbps port and a 10Gbps port	-10.24	0	0	0

5: The values for P_A , P_B , P_C and P_D shall be calculated using the following formula. $P_A = (0.0347 \times P_A/P_B) / (1 - 0.0347 \times P_A/P_B) \times q_A$

$$P_{A} = (0.0347 \times P_{d}/P_{SA}) / (1 - 0.0347 \times P_{d}/P_{SA}) \times \alpha_{A}$$

 $P_{B} = (0.0347 \times P_{d}/P_{SB}) \times (1 - 0.0347 \times P_{d}/P_{SB}) \times \alpha_{B}$ $P_{-} = (0.0347 \times P_{d}/P_{SB}) \times (1 - 0.0347 \times P_{d}/P_{SB}) \times \alpha_{B}$

$$P_{\rm C} = (0.0347 \times P_{\rm d}/P_{\rm SC}) / (1 - 0.0347 \times P_{\rm d}/P_{\rm SC}) \times \alpha_{\rm C}$$

 $P_{\rm D} = (0.0347 \times P_{\rm d}/P_{\rm SD}) / (1 - 0.0347 \times P_{\rm d}/P_{\rm SD}) \times \alpha_{\rm D}$

6: The values for P_{SA} , P_{SB} , P_{SC} and P_{SD} shall be calculated using the following formula. $P_{SA} = \alpha_A \times 0.85 + 1$

 $P_{SB} = \alpha_B \times 0.85 + 1$

 $P_{SC} = \alpha_C \times 0.85 + 1$

 $P_{SD} = \alpha_D \times 0.85 + 1$

- 7: P_d represents the value for maximum power supply (unit: W) for power over Ethernet. If the equipment has no power over Ethernet function, use 0.
- 8: T represents the value for maximum effective transmission rate (unit: Gbps) when the frame length is 1,518 bytes.

[Approximate Date for Achieving Normative References]

End of Fiscal Year 2011 (adopted the target fiscal year per the standard on switching equipment (2009 Ministerial Notification No. 227 from the Ministry of Economy, Trade and Industry), specified in the Energy Conservation Law)

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference (Note 1). In assessment scale, the rank including normative reference shall be $\star \star$.

(Note 1) Rate of reduction of power consumption vs. NR shall be comparable for equipment with similar maximum effective transmission rates.

Ecology Guideline For the ICT Industry

Assessment	Rate of reduction vs. power	Energy efficiency ratio per category En (W/Gbps)			Notes	
scale	consumption at NR	А	В	С	D	Normative Reference E (W/Gbps) per table
****	n≧30%		En≦E	E×0.7		under (3) Normative
****	$20\% \le n < 30\%$	$Ex0.7 \le E \times 0.8$			References	
***	$10\% \le n < 20\%$	$Ex0.8 \le E \times 0.9$				
★★ (includes NR)	0%≦n<10%	E×0.9 <en≦e< td=""><td></td></en≦e<>				
*	(Normative reference not achieved)		En>	>E		

(Note) In calculating energy efficiency ratio, calculate values to the first decimal place.

(4) Measurement Methodology

Measurement conditions are as follows taking into account the substance of the 2009 Ministerial Notification Number 227 from the Ministry of Economy, Trade and Industry, which sets forth judgment standards, among other things, for manufacturers of switching equipment per the stipulations of the Energy Conservation Law.

- [1] For energy efficiency, use the value resulting from dividing the value expressed in W, representing power consumption during maximum effective transmission rate when the frame length to be measured is 1,518 bytes, by a value expressed in Gbps, representing the aforementioned effective transmission rate.
- [2] Conditions for measuring power consumption and maximum effective transmission rate are as follows.
 - (a) The value for maximum effective transmission rate is calculated using the following formula.

 $T = R \times (L+20) \times 8/10^9$

In this formula, T, R and L represent the following values.

T: Maximum effective transmission rate when the frame length is 1,518 bytes

R: The total number of frame output per second from all ports

L: Frame length (unit: bytes)

- (b) Set switch so as to perform switching of received frames. Switching is the relay of data on a network using layer 2 (data link layer) of a reference model indicated in Basic Reference Model 6 of Open Systems Interconnection stipulated in Japan Industrial Standard X5003. Specifically, relay is performed referring to the MAC address.
- (c) Use frames for unicast transmission.
- (d) The data pattern of the header section is arbitrary. Use 0 for all data

patterns of the frame to be measured.

- (e) Measurement dependent on type of cascade connection at the ports are permissible. In such cases, calculate maximum effective transmission rate considering the number of cascade-connected ports.
- (f) When measuring energy efficiency ratio, it is acceptable to transmit the minimum frames required according to the maximum effective transmission rate of the switch.
- (g) Components that can be removed and functions that can be disabled without sacrificing the basic performance and functioning of the switch shall be removed or disabled, respectively, for the measurement.
- (h) Ports not used in the measurement can be linked down.
- (i) The peripheral temperature shall fall within the range of 16 to 32°C.
- (j) Power voltage shall be within the range of ±10% of rated input voltage (100V or 200V) if the power source is AC and within the range of DC-57V to DC-40.5V if the power source is DC.
- (k) Use rated frequency for AC power source frequency.
- (l) Conduct measurements with the switch in a stationary state.
- (m) For products using an AC power source, measure power consumption at the power plug terminal.
- (n) In the case of AC power, use effective power as power consumption.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.2.1(4) Measurement Methodology.

[2] Assessment scale ranking

The assessment scale ranking $(\star \cdot \star \star \star \star)$ shall be based on normative reference and assessment result.

[3] Variance in assessment result

Values specified in 2009 Ministerial Notification No. 226 from the Ministry of Economy, Trade and Industry (2010 METI Notification No. 149 revised version) shall apply.

The specific range of permissible variance in assessment results shall be x/115 of the energy efficiency ratio of individual equipment, where x is a figure of 100 or more.

As indicated in the diagram below, this means that where the assessment result of the individual equipment is 100, the measurement value of the energy efficiency ratio (energy consumption (W)) cannot exceed 115.

In other words, the range of permissible variance of the indicated value is +15% or less.



5.2.3 Transport Equipment

5.2.3.1. WDM Equipment

(1) Equipment Definition

- WDM equipment is a product that performs info-communication functions over a single fiber-optic cable by multiplexing multiple optic signals of different wavelengths.
- WDM equipment from recent years may include devices that integrate OSI reference models from layer 2 (data link) to the layer 3 (network). Due to the difficulty of defining a standard device configuration, here, WDM equipment refers to devices equipped for only OSI layer 1 (physical).
- This guideline applies to WDM equipment used in terrestrial systems. Submarine systems are exempt. Furthermore, relay equipment is exempt because relay equipment consumes less power compared to terminal equipment.

[Functional Configuration Subject to This Guideline]

WDM equipment is categorized as follows based on WDM multiplexing method, OADM function and OADM method.

WDM multiplexing method	OADM function (Note 1)	OADM method	Classification		
DWDM	Yes	Fixed	1	DWDM with Fixed OADM	
(Note 2)		Reconfigurable 2		DWDM with ROADM	
		Optical Cross	③ DWDM with OXC		
		Connect			
	No	_	4	DWDM (Point-Point)	
CWDM	Yes	Fixed	5	CWDM with Fixed OADM	
(Note 3)		Reconfigurable	6	CWDM with ROADM	
	No	_	\bigcirc	CWDM (Point-Point)	

Notes

1: Point-Point optical multiplexing/demultiplexing is considered to be included within OADM functions, but categories are expressed in terms of with or without OADM.

3: CWDM (Coarse Wavelength Division Multiplexing): WDM equipment where wavelength multiplexing is conducted at intervals specified by ITU-T G.694.2.

Among the above categories, ② DWDM with ROADM and ⑦ CWDM (Point-Point) are subject to this Guideline, both of which are expected to exhibit energy-saving impact and for which future demand is forecast.

^{2:} DWDM (Dense Wavelength Division Multiplexing): WDM equipment where wavelength multiplexing is conducted at intervals specified by ITU-T G.694.1.



(2) Figures of merit

The figure of merit for WDM equipment shall be the maximum throughput at average power consumption.

Since this figure of merit for transport equipment is the same as that stipulated by ATIS (Alliance for Telecommunications Industry Solutions), its relevancy shall be noted.

The figure of merit, TEER (Telecommunications Energy Efficiency Ratio) (Note 1) for transport equipment stipulated by ATIS is as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$ $= \Sigma Di / \{ (P_{CERT-0+} P_{CERT-50+} P_{CERT-100}) / 3 \}$

TEER_{CERT}: Certified TEER measured at a specific configuration (Note 2) D_{TEER}: Total data rate (bps)

P_{TEER-CERT}: Measured power consumption (W) Di: Data rate (bps) at a given interface i P_{CERT-0}: Measured power consumption (W) at a 0% data usage rate P_{CERT-50}: Measured power consumption (W) at a 50% data usage rate P_{CERT-100}: Measured power consumption (W) at a 100% data usage rate (Note 1): ATIS-0600015.2009: General TEER base standard (Note 2): ATIS-0600015.02.2009: Transport product category TEER

This figure of merit applies not only to WDM equipment but also to "transport equipment" including SONET/SDH equipment and the like.

<For WDM Equipment>

DWDM figure of merit: TEER = maximum throughput (Gbps) / average power consumption (W)

CWDM figure of merit: TEER = maximum throughput (Gbps) / average power consumption (W)

Note: Calculate average power consumption = (power consumption at full wavelength + power consumption at one wavelength) / 2. Peak throughput is total throughput at full wavelength.

With WDM equipment, unlike packet interface cards, it is common to guarantee constant 100% transport; therefore,

 $\mathbf{P}_{\text{CERT-0}} = \mathbf{P}_{\text{CERT-50}} = \mathbf{P}_{\text{CERT-100.}}$

Thus, TEER_{CERT} in WDM equipment under ATIS is in essence as follows.

TEER_{CERT} = $D_{\text{TEER}}/P_{\text{TEER-CERT}}$

= maximum transmission capacity / power consumption when configured for maximum transmission capacity

(This is provided as a ROADM system example for ATIS-0600015.02.2009.)

In evaluating the power consumption of equipment, measure power consumption at one wavelength and at full wavelength, so as to provide visibility into low power consumption in common portions such as the optical amplifier and the optical multiplexer/demultiplexer, and use the following for average power consumption.

Average power consumption = (power consumption at full wavelength + power consumption at one wavelength) / 2



Given that equipment configuration differs by vender, it is preferable to use the following parameters, which rely largely on power consumption and enable stipulation through external interface.

> Total throughput on client side.

> Transmission distance of a section (Use 0.25dB/km)

Generally, it is believed power consumption rises with an increase in these parameters. However, in the case of DWDM, the increase in power consumption in relation to transmission distance of a section is believed to be small in light of the overall figure.

For that reason, use as a metric value that results from dividing maximum throughput value by average power consumption and do not consider transmission distance of a section.

[Definition of Throughput]

Throughput is defined as the total effective client signal rate (converted to a single direction) passing through the device (does not include closed and attached FEC within WDM).

For example, a 40 wave "DWDM with ROADM" set at 10 Gbps per wave on the WDM side would have a total client signal rate (maximum throughput) of 10 Gbps x 40 ch x 2 directions = 800 Gbps. The total client signal rate (maximum throughput) of a 4 wave "CWDM (Point to Point) would be 10 Gbps x 4 ch x 1 direction = 40 Gbps.



(2) Normative References

[1] Method for determining normative references

Normative references for DWDM and CWDM equipment shall be values derived by accounting for technological trends to the average metric values based on products shipped in Fiscal Year 2016 (CIAJ survey).

DWDM equipment (200 Gbps) is newly added.

The modulation method is 16QAM.

FEC is power consumption at the maximum ability.

QAM : Quadrature Amplitude Modulation

FEC : Forward Error Correction

[Normative References]

DWDM equipment (10 Gbps) : 0.33 (Gbps/W) DWDM equipment (40 Gbps) : 0.40 (Gbps/W) DWDM equipment (100 Gbps) : 0.86 (Gbps/W) <u>DWDM equipment (200 Gbps) : 1.79 (Gbps/W)</u> CWDM equipment (10 Gbps) : 0.57 (Gbps/W)

[Approximate Date for Achieving Normative References]

End of Fiscal Year 2016 : DWDM equipment (10Gbps/40Gbps/100Gbps) and CWDM equipment (10 Gbps) <u>End of Fiscal Year 2019 : DWDM equipment (200 Gbps)</u>.

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Reference example 1) Average power consumption at normative reference for 800 Gbps DWDM equipment (10 Gbps) is 2,424.24 W.

Reference example 2) Average power consumption at normative reference for

3,200 Gbps DWDM equipment (40 Gbps) is 8,000.00 W.

Reference example 3) Average power consumption at normative reference for 8,000 Gbps DWDM equipment (100 Gbps) is 9,302.32 W.

<u>Reference example 4)</u> Average power consumption at normative reference for 16,000 Gbps DWDM equipment (200 Gbps) is 8,938.54 W.

Reference example 5) Average power consumption at normative reference for 40 Gbps CWDM equipment (10 Gbps) is 70.17 W.

Assessment	Rate of reduction	Average power consumption	Average power
scale	vs. power	(W) for 800 GbpsDWDM (10	consumption (W) for 3,200
	consumption at NR	Gbps)	GbpsDWDM (40 Gbps)
*****	n≧30%	P≦1,696.96	P≦5,600.00
****	$20\% \le n < 30\%$	1,696.96 <p≦1,939.39< td=""><td>5,600.00<p≦6,400.00< td=""></p≦6,400.00<></td></p≦1,939.39<>	5,600.00 <p≦6,400.00< td=""></p≦6,400.00<>
***	$10\% \le n < 20\%$	1,939.39 <p≦2,181.81< td=""><td>6,400.00<p≦7,200.00< td=""></p≦7,200.00<></td></p≦2,181.81<>	6,400.00 <p≦7,200.00< td=""></p≦7,200.00<>
★★ (includes NR)	$0\% \le n < 10\%$	2,181.81 <p≦2,424.24< td=""><td>7,200.00<p≦8,000.00< td=""></p≦8,000.00<></td></p≦2,424.24<>	7,200.00 <p≦8,000.00< td=""></p≦8,000.00<>
*	Normative reference not achieved	P>2,424.24	P>8,000.00

(Note) In power consumption, calculate values to the second decimal place.

Assessment	Rate of reduction	Average nower consumption	Average nower consumption
scale	vs. power	(W) for 8,000 GbpsDWDM	(W) for 16,000 GbpsDWDM
	consumption at NR	(100 Gbps)	(200 Gbps)
****	n≧30%	P≦6,511.62	<u>P≦6,256.97</u>
****	$20\% \le n < 30\%$	6,511.62 <p≦7,441.85< td=""><td><u>6,256.97<p≦7,150.83< u=""></p≦7,150.83<></u></td></p≦7,441.85<>	<u>6,256.97<p≦7,150.83< u=""></p≦7,150.83<></u>
***	$10\% \le n < 20\%$	7,441.85 <p≦8,372.08< td=""><td><u>7,150.83<p≦8,044.68< u=""></p≦8,044.68<></u></td></p≦8,372.08<>	<u>7,150.83<p≦8,044.68< u=""></p≦8,044.68<></u>
★★ (includes NR)	0%≦n<10%	8,372.08 <p≦9,302.32< td=""><td><u>8,044.68<p≦8,938.54< u=""></p≦8,938.54<></u></td></p≦9,302.32<>	<u>8,044.68<p≦8,938.54< u=""></p≦8,938.54<></u>
*	Normative reference not achieved	P>9,302.32	<u>P>8,938.54</u>

(Note) In power consumption, calculate values to the second decimal place.

Assessment	Rate of reduction	Average power consumption	
scale	vs. power	(W) for 40 GbpsCWDM (10	
	consumption at NR	Gbps)	
****	n≧30%	P≦49.11	
****	$20\% \le n < 30\%$	49.11 <p≦56.13< th=""></p≦56.13<>	
***	$10\% \le n < 20\%$	56.13 <p≦63.15< th=""></p≦63.15<>	
**	$0\% \le n \le 10\%$	63.15 <p≦70.17< th=""></p≦70.17<>	
(includes NR)	0% = 11 < 10%		
*	Normative		
	reference not	P>70.17	
	achieved		

(Note) In power consumption, calculate values to the second decimal place.

- (4) Measurement Methodology
 - [1] Measurement configuration
 - (a) Subject: 1 equipment (not in units of frames or shelves)
 - (b) Mounting: Configure and equip with function blocks for maximum throughput
 - (c) Power source: Redundant configuration (DC)
 - (d) Optic cable connection:
 - > All mounted within device
 - > Corresponds to measuring equipment on client side
 - > Corresponds to return path of transmission
 - (e) Basic configuration> Use Ring for DWDM with ROADM> Use point to point for CWDM

Example of Measurement Configuration



[2] Measurement conditions

- (a) Environmental conditions: Temperature of 25°C ±5°C (no stipulations for humidity or air pressure)
- (b) Measurement precision: ± 1%
- (c) Test voltage: $48V \pm 1V$ in DC
- (d) Slot mounting: Full mounting (use maximum mounting regardless of redundancy)
 - Maximum mounting refers to the state that maximizes equipment throughput.
 - Where different types of optical amps exist, equip with that which provides maximum distance of a span.
 - Mount all cables
- (e) Load conditions:
 - > 1 wave (minimum wavelength count but maximum bandwidth)
 - > Full wavelength (maximum bandwidth)

- (f) Points to note in testing: Stabilize by running for 15 continuous minutes under stipulated conditions before taking measurements.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.3.1(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result Permissible variance due to differences in equipment (units) shall be determined in the future.

The average of the actual measurement values for the figure of merit attained by the vendor shall be submitted as the assessment result.

- 5.2.3.2. Converged Packet Optical Equipment
 - (1) Equipment Definition

Converged packet optical equipment refers to a type of transport equipment which transports (switches) multiple types of signals such as [1] packet signals, [2] TDM signals and [3] WDM signals.

The scope of this guideline shall cover devices which handle [1] packet signals and [2] TDM signals as part of both packet and TDM dual functionality. The definition of these types of signals shall be as follows:

[1] Packet signals

Signals whose label includes a MAC address relayed via ISO OSI layer 2 (data link layer) or an IP address relayed via ISO OSI layer 3 (network layer) or routing information.

[2] TDM signals

Time division multiplexing (TDM) signals, such as STM-n/OC-n/OTU-n signals defined in SDH/SONET/OTN, as well as analog signals conveying image or voice over telephone lines.

[3] WDM signals Wavelength division multiplexing signals, which multiplex multiple optic signals with different wavelengths.

TDM: Time Division Multiplexing

WDM: Wavelength Division Multiplexing

ISO: International Organization for Standardization

OSI: Open Systems Interconnection

MAC: Media Access Control

SDH: Synchronous Digital Hierarchy

SONET: Synchronous Optical Network

STM: Synchronous Transport Module OC: Optical Carrier OTN: Optical Transport Network OTU: Optical Channel Transport Unit

<< Converged packet optical equipment I with both packet signal and TDM signal functions >>

[Device configuration]

- The scope of this guideline shall cover the following four configuration models.
- Model I: Configuration where TDM signals and packet signals in both directions are switched independently.



Model II: Configuration where some TDM signals are converted into packets and switched via the packet switch, or some packet signals are depacketed and switched via the TDM switch.

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Model III: Configuration where all TDM signals are converted into packet signals and switched via the packet switch



Model IV: Configuration where all packet signals are depacketed and switched via the TDM switch

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Network configuration example using converged packet optical equipment



• The scope of this guideline includes transport network side optical transmission route interface in the ring/linear models above handling packet signals (MPLS/MPLS-TP/PBB-TE, etc.), but does not include equipment handling only TDM signals.

[Additional explanation of terminology]

• Ring: Refers to ring topology networks. Multiple equipment is connected in a ring configuration, where if transmission in one direction fails, the path can be

switched to the other direction in order to recover the signal. Linear: Refers to linear topology networks. A network where two or more equipment are connected in a linear configuration. In case of transmission route failure, a section of the route or the path between devices can be switched to another in order to recover the signal.

- MPLS: Multi Protocol Label Switching. IETF is working on standardization of this packet transfer technology using label switching. A label is added to the route information in the packet and signals are transported based on this label.
- MPLS-TP: Multi Protocol Label Switching Transport Profile. Enhances maintenance and operability of the network by adding conditions for realizing SDH network-level quality, using the MPLS label transport technology.
- PBB-TE: Provider Backbone Bridge Traffic Engineering. Configuration consisting of point-to-point relay on a PBB network defined by IEEE802.1ah.

(2) Figure of merit

• The figure of merit for converged packet optical equipment shall be the maximum throughput per average power consumption.

The ATIS figure of merit for transport equipment covers single-function equipment, such as WDM-only or TDM-only equipment, and does not indicate figure of merit for converged equipment with multiple function-capacity. However, the figure of merit for converged packet optical equipment for this Guideline shall be the ATIS figure of merit of "maximum throughput per average power consumption."

The figure of merit (TEER) designated by ATIS for transport equipment is as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$ = $\Sigma Di / \{(P_{CERT-0} + P_{CERT-50} + P_{CERT-100})/3\}$

TEER_{CERT}: Certified TEER measured at a specific configuration

D_{TEER}: Total data rate (bps)

PTEER-CERT: Measured power consumption (W)

Di: Data rate (bps) at a given interface i

 P_{CERT-0} : Measured power consumption (W) at a 0% data traffic utilization $P_{CERT-50}$: Measured power consumption (W) at a 50% data traffic utilization $P_{CERT-100}$: Measured power consumption (W) at a 100% data traffic utilization utilization

< For converged packet optical equipment>

For converged packet optical equipment capable of both packet as well as TDM functions, interface cards are added depending on the data usage

volume. Therefore, in general,

 $(P_{CERT-0} + P_{CERT-50} + P_{CERT-100})/3 \Leftrightarrow (P_{CERT-0} + P_{CERT-100})/2$

Thus, in practice, TEER_{CERT} for converged packet optical equipment shall be as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$ = $\Sigma Di / \{(P_{CERT-0} + P_{CERT-100})/2\}$ = Maximum throughput/average power consumption

For converged packet optical equipment capable of both packet as well as TDM functions, if

Packet function portion (A) =	Maximum throughput (Gbps) of packet		
	functions		
=	「Port speed (Gbps) x Number of ports x		
	Number of slots		
TDM function portion (B) =	Maximum throughput (Gbps) of TDM		
	functions		
=	「Port speed (Gbps) x Number of ports x		
	Number of slots		
The maximum throughput for converged packet optical equipment			

$$\sqrt{(A^2+B^2)/2}$$

The average power consumption for converged packet optical equipment = $(P_{idle}+P_{max})/2$

- P_{idle}: Power consumption (W) of total equipment with no data throughput with minimum components and path configuration
- P_{max}: Power consumption (W) of total equipment during main signal transmission with maximum component configuration

Figure of merit: E = Maximum throughput (Gpbs)/average power consumption = $\sqrt{(A^2+B^2)/2}$ {(P_{idle}+P_{max})/2}

[Functional parts of converged packet optical equipment]

- 1) Type of interface
 - Select the maximum capacity each for packet and/or TDM interface.
 - Separate the packet switching component from the TDM switching component.
- 2) Type of functional parts
 - Packet functioning parts: the interface for transmitting and receiving packet signals.
 - TDM functioning parts: the interface for transmitting and receiving TDM signals.

(3) Normative reference

[1] Method for determining normative reference

The normative reference for converged packet optical equipment (packet and TDM functions) shall be values derived by accounting for technological trends to the average metric values based on products shipped in Fiscal Year 2011 (CIAJ survey).

[Normative reference]

Converged packet optical equipment (10Gbps) : 0.1009 (Gbps/W) Converged packet optical equipment (100Gbps) : 0.2065 (Gbps/W)

[Approximate Date for Achieving Normative References] End of Fiscal Year 2014

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

equipment with 50 Gbps packet function and 50 Gbps TDM function.				
Assessment	Rate of reduction vs. power	Average power consumption (W) with 50 Gbps		
scale	consumption at NR	packet function and 50 Gbps TDM function		
****	n≧30%	P≦346.87		
****	$20\% \le n < 30\%$	346.87 <p≦396.43< td=""></p≦396.43<>		
***	$10\% \le n < 20\%$	396.43 <p≦445.98< td=""></p≦445.98<>		
★★ (includes NR)	$0\% \le n < 10\%$	445.98 <p≦495.54< td=""></p≦495.54<>		
*	Normative reference not achieved	P>495.54		

Reference example 1) Average power consumption at normative reference for equipment with 50 Gbps packet function and 50 Gbps TDM function.

(Note) In power consumption, calculate values to the second decimal place.

Reference example 2) Average power consumption at normative reference for equipment with 1,600 Gbps packet function and 1,600 Gbps TDM function.

	,	· · · · · · · · · · · · · · · · · · ·	
Assessment	Rate of reduction vs. power	Average power consumption (W) with 1,600 Gbps	
scale	consumption at NR	packet function and 1,600 Gbps TDM function	
****	n≧30%	P≦5,423.72	
****	$20\% \le n < 30\%$	5,423.72 <p≦6,198.54< td=""></p≦6,198.54<>	
***	$10\% \le n < 20\%$	6,198.54 <p≦6,973.36< td=""></p≦6,973.36<>	
★★ (includes NR)	$0\% \le n < 10\%$	6,973.36 <p≦7,748.18< td=""></p≦7,748.18<>	
*	Normative reference not achieved	P>7,748.18	

(Note) In power consumption, calculate values to the second decimal place.

(4) Measurement Methodology

[1] Measurement configuration



[Example of maximum component configuration for measuring P_{max}]

[Example of minimum component and path configuration for measuring P_{idle}]



In the case of ring models, the configuration shall be set up with a single-side path.

- [2] Measurement conditions
- (a) Interface
 - 1) Selection of the interface
 - Select the interface where the transmission capacity calculated using

the following formula will be maximized for TDM and/or packet.

- Transmission capacity
 - = Port speed (Gbps) x Number of ports x Number of slots
- 2) Function combination patterns
 - For the TDM interface and packet interface, conduct measurements selecting the configurable pattern at NNI side/UNI side from below
 - [1] TDM (NNI), TDM (UNI)
 - [2] TDM (NNI), packet (UNI)
 - [3] packet (NNI), TDM (UNI)
 - [4] packet (NNI), packet (UNI)
 - Select the pattern in which maximum throughput will be reached for TDM/packet respectively.

(Reference example)

In the above combinations, "A" shall be the maximum throughput of the TDM functional part for the combination resulting in the maximum TDM throughput and "a" shall be the power consumption of that combination.

Furthermore, in the above combinations, "B" shall be the maximum throughput of the packet functional part for the combination resulting in the maximum packet throughput and "b" shall be the power consumption of that combination. Thus,

Maximum throughput of the functional part = $\sqrt{(A^2+B^2)/2}$ Average power consumption = (a + b)/2

ime in		Total	TotalAverage power consumption of entirethrougequipment		Interfere		
Equipment na	Configuratic	through- put (Gbps) of packet functional parts	hput (Gbps) of TDM functio nal parts	Power consump- tion (W) at P _{idle}	Power consump- tion (W) at P _{max}	Average power consump- tion (W)	combination (IF) when measuring P _{idle} and P _{max}
А	Model	-	40	Pidlo [1]	P _{max} [1]	P [1]	TDM (NNI),
11	Ι	-	20	- Iule [+]	- max [+]	1 [1]	TDM (UNI)
А	Model II	20	120	P _{idle} [2]	P _{max} [2]	P [2]	TDM (NNI), Packet (UNI)
А	Model III	20	20	P _{idle} [3]	P _{max} [3]	P [3]	Packet (NNI), TDM (UNI)
A Mod IV	Model	80	-	D [4]	D [4]	D [4]	Packet (NNI),
	IV	80	-	Fidle [4]	1 ⁻ max [4]	P [4]	Packet (UNI)

Maximum throughput of the TDM functional part for the entire equipment (A): 120

Maximum throughput of the packet functional part for the entire equipment (B): 80 + 80 = 160

Maximum throughput of the functional part: $\sqrt{(A^2+B^2)/2} = 141.4$ (Gbps) Average power consumption of the entire equipment = (P [2] + P [4])/2

- 3) Interface when measuring P_{max} configuration
 - Use the interface selected in 1), and configure using maximum slot/maximum port.
- 4) Interface when measuring P_{idle} configuration
 - Use the interface selected in 1), and configure using 1 slot/1 port I/O.

In the case of ring models, set up with a single-side path or convert the result to 1 slot/1 port configuration.

- 5) Configuration for redundancy
 - There is no specification of interface. When using an interface, calculate the transmission capacity with the redundant interface as part of the main unit.
 - There is no specification for common components (It shall be possible to have no common components).
- (b) Transmission load
 - 1) Transmission load when measuring P_{max}
 - Maximum rate when configured at maximum capacity
 - 2) Transmission load when measuring Pidle
 - Non-transmitting state when configured with minimum paths at minimum capacity
- (c) Environmental conditions
 - Temperature: 25°C ±5°C
 - Humidity: not stipulated
 - Air pressure: not stipulated
- (d) Test voltage
 - DC 48V ± 1V or AC 100V±10% 50/60 Hz
- (e) Number of equipment measured
 - There is no stipulation on number of equipment to be measured, but if multiple equipment is measured, indicate the average value.
- (f) Number of measurements
 - There is no stipulation on number of measurements, but if measurements are taken multiple times, indicate the average value.
- (g) Measurement precision
 - Not stipulated.

(5) Assessment Result and Assessment Scale Ranking

[1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.3.2 (4) Measurement Methodology.

[2] Assessment scale ranking

The assessment scale ranking $(\star \cdot \star \star \star \star)$ shall be based on normative

reference and assessment result.

[3] Variance in assessment result Permissible variance due to differences in equipment (units) shall be determined in the future. The average of the actual measurement values for the figure of merit attained by the vendor shall be submitted as the assessment result.

<< Converged packet optical equipment II with packet signal, TDM signal and WDM signal functions >>

[Device configuration]

• Configured with converged packet optical I (packet SW and TDM SW) and WDM functional parts



*CPOE I : CONVERGED PACKET OPTICAL EQUITPMENT I

Note: Converged packet optical equipment II can be composed of multiple converged packet optical equipment I.

Network configuration example using converged packet optical equipment



*CPOE ${\rm I\!I}$: Converged Packet Optical Equipment ${\rm I\!I}$

(6) Figure of merit

The figure of merit for converged packet optical equipment shall be the maximum throughput per average power consumption.

The ATIS figure of merit for transport equipment covers single-function equipment, such as WDM-only or TDM-only equipment, and does not indicate figure of merit for converged equipment with multiple function-capacity. However, the figure of merit for converged packet optical equipment for this Guideline shall be the ATIS figure of merit of "maximum throughput per average power consumption."

The figure of merit (TEER) designated by ATIS for transport equipment is as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$ = $\Sigma Di / \{(P_{CERT-0} + P_{CERT-50} + P_{CERT-100})/3\}$

TEER_{CERT}: Certified TEER measured at a specific configuration D_{TEER}: Total data rate (bps) P_{TEER-CERT}: Measured power consumption (W) Di: Data rate (bps) at a given interface i P_{CERT-0}: Measured power consumption (W) at a 0% data traffic utilization P_{CERT-50}: Measured power consumption (W) at a 50% data traffic utilization P_{CERT-100}: Measured power consumption (W) at a 100% data traffic utilization

<For converged packet optical equipment (with packet & TDM signal functions)>

For converged packet optical equipment capable of both packet as well as TDM functions, interface cards are added depending on the data usage volume. Therefore, in general,

 $(P_{CERT-0} + P_{CERT-50} + P_{CERT-100})/3 \Rightarrow (P_{CERT-0} + P_{CERT-100})/2$

Thus, in practice, TEER_{CERT} for converged packet optical equipment (with packet & TDM signal functions) shall be as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$

 $= \Sigma \text{Di} / \{(P_{\text{CERT-0}} + P_{\text{CERT-100}})/2\}$

= Maximum throughput/average power consumption

The average power consumption for converged packet optical equipment (with packet & TDM signal functions)

= $(P_{idle} + P_{max})/2$

- P_{idle}: Power consumption (W) of total equipment with no data throughput with minimum components and path configuration
- P_{max}: Power consumption (W) of total equipment during main signal transmission with maximum component configuration

< For converged packet optical equipment (with WDM signal function)>

Converged packet optical equipment with WDM functions generally operate with 100% transport assurance, therefore,

Thus, in practice, TEER_{CERT} for converged packet optical equipment with WDM signal function shall be as follows.

 $TEER_{CERT} = D_{TEER} / P_{TEER-CERT}$

 $= \Sigma \text{Di}/\{(P_{\text{CERT-0}} + P_{\text{CERT-100}})/2\}$

= Maximum throughput/average power consumption

The average power consumption for converged packet optical equipment (with WDM signal function)

= $(P_{idle} + P_{max})/2$

P_{idle}: Power consumption (W) at 1 wavelength

P_{max}: Power consumption (W) at full wavelength

Measurement of the average power consumption shall be taken from power consumption when configured for maximum transmission capacity

The maximum throughput shall be the total throughput at full wave length.

<Definition of throughput>

• The traffic pattern of converged packet optical equipment is as follows:



CONVERGED PACKET OPTICAL EQUIPMENT I

*CPOE I : Converged Packet Optical Equipment I

Characteristic traffic of converged packet optical equipment included in throughput calculations

Pathway	Throughput used for calculating figure of merit	Notes
(1) Transmitted as WDM (unchanged)	-	Not included since power consumption of OADM/ROADM/OXC parts are small
(2) Add/Drop from WDM to	WDM signal (C) throughput \times	
packet SW/TDM SW	Add/Drop rate (α)	
(3) Add/Drop from WDM to transponder	-	Not included since not a major function of converged packet optical equipment II
(4) Packet processing part	Packet signal (A) throughput	
(5) TDM processing part	TDM signal (B) throughput	

A: Maximum throughput of packet function (Gbps)

=[Port speed (Gbps) \times number of ports \times number of slots]

- B: Maximum throughput of TDM function (Gbps)
 - =[Port speed (Gbps) \times number of ports \times number of slots]
- C: Maximum throughput of WDM function (Gbps)
 - =[Port speed (Gbps) \times number of ports \times number of slots]
- α : Add/Drop rate of WDM function

In order to maximize use of converged packet optical equipment II features, the equipment shall be configured so that the traffic of WDM signals flowing into the converged packet optical equipment I part is maximized and the conditions of A, B, C and α are C× α =A+B.

 (1) Maximum throughput of converged packet optical equipment (with packet & TDM & WDM signal functions) Maximum throughput = √[{A²+B²+(C×α)²}/3]

Furthermore, if packet or TDM functions are not supported, the following shall apply,

- Maximum throughput of converged packet optical equipment (with packet & WDM signal functions)
 Maximum throughput = √[{A²+(C× α)²}/2]
- (3) Maximum throughput of converged packet optical equipment (with TDM & WDM signal functions)
 Maximum throughput = √[{B²+(C×α)²}/2]

The figure of merit of converged packet optical equipment with packet & TDM & WDM signal functions shall be as follows:

Figure of merit = Maximum throughput (Gbps)/average power consumption (W)

= $\sqrt{[{A^2+B^2+(C \times \alpha)^2}/3]} { [(P_{idle}+P_{max})/2]}$

- P_{idle}: Power consumption (W) of total equipment with no data throughput with minimum components and path configuration (WDM part: 1 wave length, maximum frequency (ex: 1 wave×100 Gbps))
- P_{max}: Power consumption (W) of total equipment during main signal transmission with maximum component configuration (WDM part: full wave length, maximum frequency (ex: 80 wave×100 Gbps))
- α : WDM Add/Drop rate

The Add/Drop rate will change with operation status, but since there is no actual operational data, the maximum capacity of the equipment shall aim for α =1.

* State of the equipment at maximum throughput.

(7) Normative reference

[1] Method for determining normative reference

The normative reference for converged packet optical equipment (packet & TDM & WDM functions) shall be values derived by accounting for technological trends to the average metric values based on products shipped in Fiscal Year 2013 (CIAJ survey).

[Normative reference]

<When configured with WDM functional part at 100G × N >
Converged packet optical equipment (packet and WDM functions):
 0.1880 (Gbps/W)
[Approximate Date for Achieving Normative References]
 End of Fiscal Year 2016

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Reference example 1) Average power consumption at normative reference for equipment with 8,800 Gbps packet function and 8,800 Gbps WDM function.

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption (W) with 8,800Gbps packet function and 8,800 Gbps WDM function
****	n≧30%	P≦32,765.95
****	$20\% \le n < 30\%$	32,765.95 <p≦37,446.80< td=""></p≦37,446.80<>
***	$10\% \le n < 20\%$	37,446.80 <p≦42,127.65< td=""></p≦42,127.65<>
★★ (includes NR)	$0\% \leq n < 10\%$	42,127.65 <p≦46,808.51< td=""></p≦46,808.51<>
*	Normative reference not achieved	P>46,808.51

(Note) In power consumption, calculate values to the second decimal place.

Reference example 2) Average power consumption at normative reference for equipment with 8,000 Gbps packet function and 8,000 Gbps WDM function.

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption (W) with 8,000 Gbps packet function and 8,000 Gbps WDM function
****	n≧30%	P≦29,787.23
****	$20\% \le n < 30\%$	29,787.23 <p≦34,042.55< td=""></p≦34,042.55<>
***	$10\% \le n < 20\%$	34,042.55 <p≦38,297.87< td=""></p≦38,297.87<>
★★ (includes NR)	$0\% \leq n < 10\%$	38,297.87 <p≦42,553.19< td=""></p≦42,553.19<>
*	Normative reference not achieved	P>42,553.19

(Note) In power consumption, calculate values to the second decimal place.

(8) Measurement Methodology

[1] Measurement configuration

[Example of maximum component configuration for measuring Pmax]



[Example of minimum component and path configuration for measuring Pidle]



[2] Measurement conditions

(a) Interface

1) Selection of the interface

- Select the interface where the throughput of the WDM part $(C \times \alpha)$ is equivalent to the sum of the packet and TDM part throughputs (A+B), and as much as possible, WDM signals are Added/Dropped.
- 2) Function combination patterns
 - For the TDM, packet and WDM interfaces, conduct measurements selecting the configurable pattern at NNI/UNI sides from below
 - [1] WDM (NNI), TDM & packet (UNI)
 - [2] WDM (NNI), TDM (UNI)
 - [3] WDM (NNI), packet (UNI)
- 3) Interface when measuring P_{max} configuration
 - Use the interface selected in 1), and configure using maximum throughput.
- 4) Interface when measuring P_{idle} configuration
 - Use the interface selected in 3), and configure including 1 path with the WDM part.
- 5) Configuration for redundancy
 - There is no specification of interface when configured for redundancy. When configured for redundancy, calculate the figure of merit with the redundant interface as part of the main unit.
- (b)Transmission load
 - 1) Transmission load when measuring P_{max}
 - Maximum throughput when configured at maximum capacity
 - 2) Transmission load when measuring P_{idle}
 - Non-transmitting state when configured with minimum paths at minimum capacity
- (c) Environmental conditions
 - Temperature: 25°C ±5°C
 - Humidity: not stipulated
 - Air pressure: not stipulated
- (d) Test voltage
 - DC 48V ± 1V or AC 100V±10% 50/60 Hz
- (e) Number of equipment measured
 - There is no stipulation on number of equipment to be measured, but if multiple equipment is measured, indicate the average value.
- (f) Number of measurements
 - There is no stipulation on number of measurements, but if measurements are taken multiple times, indicate the average value.
- (g) Measurement precision
 - Not stipulated.
- (9) Assessment Result and Assessment Scale Ranking
- [1] Assessment result

The assessment result shall be the figure of merit actually measured by the

vendor according to 5.2.3.2 (8) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result Permissible variance due to differences in equipment (units) shall be determined in the future. The average of the actual measurement values for the figure of merit attained by the vendor shall be submitted as the assessment result.
- 5.2.4 PON Equipment

5.2.4.1. GE-PON Equipment

(1) Equipment Definition

[Common Items]

Ethernet PON equipment in compliance with or an extension of IEEE802.3ah, providing a maximum transmission rate of 1 Gbps.

OLT (Note 1)

- Power source specifications (AC or DC)
- No line concentrator functions (Note 2)

ONU (Note 3)

- Power source specifications (AC) (Note 4)
- Standalone functions only. Compound functions not included. (Note 5)
- UNI type (100 Mbps or 1 Gbps)



Notes

- 1: NNI I/F and the number of ports not stipulated. QoS and functions such as priority control not stipulated. Number of branches also not stipulated.
- 2: The scope of this Guideline covers OLT for the domestic carrier market only. If the OLT has functions other than PON (such as line concentrator functions), disable those functions before taking measurements.
- 3: UNI I/F and the number of ports not stipulated. QoS and functions such as priority
control not stipulated.

- 4: The scope of this Guideline covers ONU for the domestic consumer market only. AC adapter power supply is standard for ONU domestic consumer market.
- 5: If the ONU has multiple functions, disable those functions before taking measurements.
 - (2) Figures of merit
 - For OLT, use a value that divides average power consumption for the OLT (at full mounting), measured using DC input if the product power source is DC and AC input if the power source is AC, by the total number of lines (Total number of IF ports × number of PON branches).

OLT figure of merit: E = average power consumption (W)/total number of lines

• For ONU, use ONU average power consumption measured on the supply side of the AC adapter.

ONU figure of merit: P = average power consumption (W)

Note:

Average power consumption = (power consumption at 100% load + power consumption at 50% load + power consumption at 0% load) / 3 Total number of lines = total number of IF ports × 32

(3) Normative References

[1] Method for determining normative references

Normative references for OLT and ONU equipment shall be values derived by accounting for technological trends to the average metric values based on products shipped in Fiscal Year 2013 (CIAJ survey).

[Normative References]

OLT: 0.40 W (AC) or 0.34 W (DC) ONU: 2.84 W (100Mbps) or 3.75 W (1Gbps)

[Approximate Date for Achieving Normative References] End of Fiscal Year 2016

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Average power consumption for OLT normative references: 0.40 W (AC power supply) or 0.34 W (DC power supply)

Average power consumption for ONU normative references: 2.84 W (100 Mbps) or 3.75 W (1 Gbps).

		<u>.</u>	
Assessment	Rate of reduction	Average power	Average power
scale	vs. power	consumption for OLT	consumption for OLT
	consumption at NR	consumption at NR (AC power source) (W)	
****	n≧30%	E≦0.280	E≦0.238
****	$20\% \le n < 30\%$	$0.280 \le 0.320$	$0.238W \le 0.272$
***	$10\% \le n < 20\%$	$0.320 \le 0.360$	$0.272W \le 0.306$
★★ (includes NR)	$0\% \le n < 10\%$	$0.360 \le 0.400$	$0.306W \le 0.340$
*	Normative reference not achieved	E>0.400	E>0.340

OLT Power	Consumption	Values

(Note) In power consumption, calculate values to the third decimal place.

<u>ONO I Ower Consumption values</u>			
Assessment	Rate of reduction	Average power	Average power
scale	vs. power	consumption for ONU	consumption for ONU (1
	consumption at NR	(100 Mbps)	Gbps)
****	n≧30%	P≦1.988	P≦2.625
****	$20\% \le n < 30\%$	1.988 <p≦2.272< td=""><td>2.625<p≦3.000< td=""></p≦3.000<></td></p≦2.272<>	2.625 <p≦3.000< td=""></p≦3.000<>
***	$10\% \le n < 20\%$	2.272 <p≦2.556< td=""><td>3.000<p≦3.375< td=""></p≦3.375<></td></p≦2.556<>	3.000 <p≦3.375< td=""></p≦3.375<>
★★ (includes NR)	$0\% \le n < 10\%$	2.556 <p≦2.840< td=""><td>3.375<p≦3.750< td=""></p≦3.750<></td></p≦2.840<>	3.375 <p≦3.750< td=""></p≦3.750<>
*	Normative reference not achieved	P>2.840	P>3.750

ONU Power Consumption Values

(Note) In power consumption, calculate values to the third decimal place.

(4) Measurement Methodology

[1] Measurement configuration

[OLT]

For OLT, use 1 Gbps for both the NNI side and the PON side and use the following three settings for load factor. Furthermore, in stipulating load factor, the load factor specified by data entry side shall be used (NNI or UNI).



100% load factor: a state where 64 byte frames flow continuously (without interruption). 50% load factor: the state where 512 byte frames flow 50%. 0% load factor: the state where frames are not flowing.

[ONU]

For ONU, carry out measurements using configurations (a) and (b). As in the case of OLT, apply three load factor settings.

(a) 1 Gbps on PON side, 1 Gbps on UNI side

(b) 100 Mbps on PON side, 100 Mbps on UNI side

Furthermore, in stipulating load factor, as in the case of OLT, it shall be acceptable to use the load factor specified by data entry sites (NNI or UNI).



- [2] Measurement conditions
 - (a) Temperature: room temperature (around $25^{\circ}C \pm 5^{\circ}C$)
 - (b) Humidity: no stipulation
 - (c) Air pressure: no stipulation
 - (d) Power supply conditions:
 Using AC voltage: AC 100 V ± 10% (50/60Hz ± 1%)
 Using DC voltage: DC -48 ± 1 V
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.4.1(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result

Permissible variance due to differences in equipment (units) shall be determined in the future.

The average of the actual measurement values for the figure of merit attained by the vendor shall be submitted as the assessment result.

5.2.5 Broadband Base Station Equipment

- 5.2.5.1. WiMAXTM Base Station Equipment
 - (1) Equipment Definition
 - Mobile WiMAX base station equipment in compliance with IEEE802.16e.
 - Applies to BS (Base Station, WiMAX base station) only and does not include MS (Mobile Station, WiMAX subscriber device) and higher end core network equipment.
 - BS equipment takes the following three configurations.
 - [1] RF (radio frequency) and BB (baseband) separate-type.
 - [2] RF and BB integrated.
 - [3] RF and BB integrated with ASN-GW (Access Service Network Gateway).



Notes

AAA: Authentication, Authorization, and Accounting; AMP: Amplifier; ANT: Antenna; ASN: Access Service Network; ASN-GW: Access Service Network Gateway; BB: Base Band; BS: Base Station, WiMAX base station; CSN: Connectivity Service Network; GPS: Global Positioning System HA: Home Agent; MS: Mobile Station, WiMAX subscriber device ;

RF: Radio Frequency

Equipment specifications/ configuration	Item	Specifications
	Frequency band	2,595MHz - 2,625MHz or 2,582MHz - 2,592MHz
	Signal bandwidth	10MHz
	Duplex operation	TDD
Equipment	Wireless access system /	SOFDMA/QPSK, 16QAM, 64QAM (※)
specifications modulation system		%64QAM is down link only
	FFT size	1024
	UL/DL frame ratio	DL/UL : (29:18)
	Segmentation	None
	Inter-base station	GPS
	synchronization system	
Equipment	Antenna configuration	MIMO Matrix-A or Matrix-B(2TX+2RX)
configuration		

[Common Equipment Specifications and Equipment Configurations]

Notes:

SOFDMA: Scalable Orthogonal Frequency Division Multiple Access;

DL: Down Link; UL: Up Link;

QAM: Quadrature Amplitude Modulation;

QPSK: Quadrature Phase Shift Keying;

FFT: Fast Fourier Transform;

MIMO: Multiple Input Multiple Output;

FFT: Fast Fourier Transform

[Categorized Equipment Specifications and Equipment Configurations]

Equipment specifications /	Item	Classification specification
configuration		
	RF output power	(1) 10W+10W
		② 5W+5W
	Primary power supply	① AC
		② DC
Equipment	Network interface	① Number of optical ports: N
specifications		② Number of electrical ports: M
specifications	Transmission carrier count	① 1
		2 2
		3 3
	Baseband processor	① single (1)
		② dual (2)
Equipment	RF/BB configuration	① Integrated
configuration		② Separate

The above items may be combined independent of each other.

Of those combinations, the following three types, which are currently commercialized and thus measurable, are subject to this guideline.

Name	Integrated 10W equipment (1 BB	Integrated 10W equipment (2 BB	Integrated 5W equipment (1 BB
	system)	systems)	system)
Output power	10W+10W	10W+10W	5W+5W
Baseband processor	1 system	2 systems	1 system
RF/BB	Integrated	Integrated	Integrated
configuration		_	
Supply side power	AC	AC	AC
source input			

- (2) Figures of merit
 - Figure of merit for WiMAX base station equipment shall be the sum of RF output divided by the average primary supply-side power.

Figure of merit $E = \Sigma P_n / \{P_{idle} \times (1-\alpha) + P_{max} \times \alpha\}$

P_n: RF output power at antenna connector n (W)

- P_{idle}: primary supply-side power when transmitting only the Preamble and MAP.
- P_{max}: primary supply-side power at maximum transmission (W). The state where all down link symbols are being transmitted based on an MS connection or the test settings.
- α: average of daily down link transmission traffic rate. Average daily traffic rate using down link Data Symbol, excluding Preambles and MAP.

<For WiMAX Two Antenna Base Station Equipment>

Since the daily average down link traffic is not available, calculate with $\alpha = 0.5$ for the time being.

Figure of merit = $(P_{out1} + P_{out2}) / {(P_{idle} + P_{max})/2}$

P_{out1}: RF output power at antenna connecter # 1 (W)
P_{out2}: RF output power at antenna connecter # 2 (W)
P_{idle}: primary supply-side input power under idle mode (W)
P_{max}: primary supply-side input power during maximum transmission (W)

- (3) Normative References
- [1] Method for determining normative references
- Normative references for the three types of WiMAX base station equipment stipulated above, namely, an integrated 10 W equipment (one

system), integrated 10 W equipment (two systems), and integrated 5W equipment (one system) shall be values derived by accounting for technological trends to the average metric values based on current products (CIAJ survey).

[Normative References]

Integrated 10 W equipment (one system): 12.60 Integrated 10 W equipment (two systems): 9.63 Integrated 5W equipment (one system): 5.84

[Approximate Date for Achieving Normative References] End of Fiscal Year 2012

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Average power consumption for normative reference of integrated 10 W equipment (one system): 158.7 W

Average power consumption for normative reference of integrated 10 W equipment (two systems): 207.7 W

Average power consumption for normative reference of integrated 5 W equipment (one system): 171.2 W

Assessment scale	Rate of reduction vs. power consumption at NR	Average power consumption normative reference for integrated 10W equipment (1BB) (W) (Note 1)	Average power consumption normative reference for integrated 10W equipment (2BB) (W) (Note 1)
*****	n≧30%	P≦111.09	P≦145.39
****	$20\% \le n < 30\%$	111.09 <p≦126.96< th=""><th>145.39<p≦166.16< th=""></p≦166.16<></th></p≦126.96<>	145.39 <p≦166.16< th=""></p≦166.16<>
***	$10\% \le n < 20\%$	$126.96 < P \le 142.83$	$166.16 < P \le 186.93$
★★ (includes NR)	$0\% \le n < 10\%$	142.83 <p≦158.70< th=""><th>186.93<p≦207.70< th=""></p≦207.70<></th></p≦158.70<>	186.93 <p≦207.70< th=""></p≦207.70<>
*	Normative reference not achieved	P>158.70	P>207.70

(Note) In power consumption, calculate values to the second decimal place.

Assessment	Rate of reduction	Average power consumption	
scale	vs. power	normative reference for	
	consumption at NR	integrated 5W equipment	
		(1BB) (W) (Note 2)	
****	n≧30%	P≦119.86	
****	$20\% \le n < 30\%$	119.86 <p≦136.99< td=""><td></td></p≦136.99<>	

***	$10\% \le n < 20\%$	136.99 <p≦154.11< th=""><th></th></p≦154.11<>	
★★ (includes NR)	$0\% \le n < 10\%$	154.11 <p≦171.20< th=""><th></th></p≦171.20<>	
*	Normative reference not achieved	P>171.20	

(Note) In power consumption, calculate values to the second decimal place. Notes

1: When RF output power at antenna connecters are	10.0 W X 2

2: When RF output power at antenna connecters are 5.0 W X 2

(4) Measurement Methodology

[1] Measurement configuration



%1 An ammeter or voltmeter may be used in place of a wattmeter

[2] Measurement conditions [Environment and Electrical Conditions]

Item		Conditions	Notes
Environment conditions	Room temperature	+25°C±5°C	
Primary power	AC	Designated voltage ± 1%	50Hz or 60Hz
supply voltage	DC	Designated voltage ± 0.5%	
Operating	10W×2ANT	 Designated power no more 	Measure transmission power
conditions	5W ×2ANT	than +12.2%(+0.5dB)	using TELEC-T137
		•Measure up to one decimal	measurement method for
		point	antenna power.

[Non-Environmental and Electrical Conditions]

(a) The number of units of BS equipment or AMP to be measured shall not be stipulated, but if multiple units are measured, the average figure shall be used.

- (b) This guideline does not stipulate the number of times measurements shall be taken for each item in relation to a BS or AMP. However, use an average value when measuring multiple times.
- (c) Measure the following functions after having provided continuous power supply to the equipment.
 - Upstream receiving circuit, line interface, GPS circuit
- (d) Begin measurements after the equipment has been exposed to room temperature for one or more hours with the power on.

[Measurement methodology]

Item		Measurement method	
Primary supply	P _{max}	Use an MS connection or	 Measure using a wattmeter.
side power at		test settings where all	Use effective power
maximum RF		down link symbols are	consumption of the equipment
output		being transmitted.	when input is AC.
Primary	P _{idle}	Configure so only down	Acceptable to use Ampere
supply-side		link preamble and MAP are	meter/voltmeter when input is
input power		being transmitted. (Data is	DC.
under idle mode		PUSC)	

(5) Assessment Result and Assessment Scale Ranking

[1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.5.1(4) Measurement Methodology.

[2] Assessment scale ranking

The assessment scale ranking $(\star \cdot \star \star \star \star)$ shall be based on normative reference and assessment result.

[3] Variance in assessment result

Permissible variance due to differences in equipment (units) shall be determined in the future.

- 5.2.5.2. LTE Base Station Equipment
 - (1) Equipment Definition
 - An LTE/E-UTRAN base station (eNodeB) that complies with 3GPP standards.
 - Comprises of eNodeB control units and radio units. Does not include maintenance tools, external power sources, rectifiers, remote tilt controller or the like.

Name	Segregated 20W Device	Integrated 20W Device	Segregated 10W Device
Output power per sector	20W + 20W	20W + 20W	10W + 10W
Radio unit and control unit configuration	Segregated	Integrated	Segregated

Scope applies to the following implemented configurations

Note:

Segregated: Radio unit and control unit are driven by separate power sources. Integrated: Radio unit and control unit are driven by the same power source.



Note:

3GPP: 3rd Generation Partnership ProjectLTE: Long Term EvolutionE-UTRAN: Evolved UMTS Terrestrial Radio Access NetworkeNodeB: evolved Node BREC: Radio Equipment ControlRE: Radio Equipment

Common	Equipme	ent Specificatio	ons
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Item	Specifications	Notes
Frequency band	(Not specified)	For reference, provide frequency band information when submitting measurement data.
Signal bandwidth	10MHz	Measurement conditions
Number of carriers	1 Carrier	
Number of sectors	3	
Number of anntenas	Transmit: 2 Receive: 2 (space diversity)	MIMO
Radio access method	Transmit: OFDMA Receive: SC-FDMA	
Multiplexing method	FDD	
Modulation method	Transmit: QPSK, 16QAM, 64QAM Receive: QPSK, 16QAM	

S1 interface	Gigabit Ethernet x n	n:1 or more
Primary Power	DC -48V	
supply input		
Redundancy	Not applicable	
configuration		

MIMO:Multiple Input Multiple Output

OFDMA: Orthogonal Frequency Division Multiple Access

SC-FDMA: Single Carrier-Frequency Division Multiple Access

FDD: Frequency Duplex Division

QPSK: Quadrature Phase Shift Keying

16QAM:16 Quadrature Amplitude Modulation

64QAM:64 Quadrature Amplitude Modulation

- (2) Figures of Merit
- LTE base station equipment figure of merit shall be a value that is the total transmission output divided by the average supply side power input.

Figure of Merit: $E = \sum P_n / \lceil P_{idle} \times (1 - \alpha) + P_{max} \times \alpha \rfloor$

Pn: Transmitted power (W) of antenna connector n

P_{idle}: Primary supply-side input power (W) when there is no load, with the traffic resource block removed from E-TM1.1 of 3GPP TS36.141. Furthermore, operation conditions with no traffic (but with broadcast information) shall be permissible.

P_{max}: Primary supply-side input power (W) during maximum transmission, 3GPP TS36.141 E-TM1.1 transmission state

α: Daily average transmission traffic rate

<LTE Two Antenna Base Station Equipment>

Calculate using $\alpha = 0.5$ due to lack of available operating data.

Figure of Merit:E = $(P_{out1} + P_{out2})/\Gamma(P_{idle} + P_{max})/2J$

P_{out1}: Transmission power (W) from antenna connector 1
P_{out2}: Transmission power (W) from antenna connector 2
P_{idle}: Primary supply-side input power (W) when there is no load
P_{max}: Primary supply-side input power (W) during maximum transmission

(3) Normative References

[1] Method for determining normative references

Normative references for the abovementioned three types of LTE base station equipment (Segregated 20W Device, Integrated 20W Device, Segregated 10W Device) shall reflect improvements upon average values for current products (per CIAJ) based on technical trends.

[Normative References] Segregated 20W Device: 20.32(%) Integrated 20W Device: 13.77(%) Segregated 10W Device: 6.91(%)

<Reference> Informative value of 6 sectors type Segregated 20W Device: 21.04(%) Integrated 20W Device: 16.67(%) Segregated 10W Device: 8.37(%)

【Approximate Date for Achieving Normative References】 End of Fiscal Year 2013

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

- Average power consumption for normative reference for segregated 20W equipment: 590.55W
- Average power consumption for normative reference for integrated 20W equipment: 871.46W

Average power consumption for normative reference for segregated 10W equipment: 868.31W

Assessment	Rate of reduction	Average power	Average power
Scale	vs. power	consumption normative	consumption normative
	consumption at NR	reference (W) for	reference (W) for
		segregated 20W	integrated 20W
		equipment (Note 1)	equipment (Note 1)
****	n≧30%	P≦413.38	P≦610.02
****	$20\% \le n < 30\%$	413.38 <p≦472.44< th=""><th>610.02<p≦697.16< th=""></p≦697.16<></th></p≦472.44<>	610.02 <p≦697.16< th=""></p≦697.16<>
***	$10\% \le n < 20\%$	472.44 <p≦531.49< th=""><th>697.16<p≦784.31< th=""></p≦784.31<></th></p≦531.49<>	697.16 <p≦784.31< th=""></p≦784.31<>
**	0% <n<10%</n	531 49 <p<590 55<="" th=""><th>784 31<p≤871 46<="" th=""></p≤871></th></p<590>	784 31 <p≤871 46<="" th=""></p≤871>
(include NR)	070 =11 (1070	001.49 41 2090.00	704.01 4 ±071.40
*	Normative		
	reference not	P>590.55	P>871.46
	achieved		

Assessment	Rate of reduction	Average power	
Scale	vs. power	consumption normative	
	consumption at NR	reference (W) for	
		segregated 10W	
		equipment (Note 2)	
****	n≧30%	P≦607.81	

****	$20\% \le n < 30\%$	607.81 <p≦694.64< th=""><th></th></p≦694.64<>	
***	$10\% \le n < 20\%$	694.64 <p≦781.47< th=""><th></th></p≦781.47<>	
★★ (include NR)	$0\% \le n < 10\%$	781.47 <p≦868.31< th=""><th></th></p≦868.31<>	
*	Normative reference not achieved	P>868.31	

(Note) In power consumption, calculate values to the second decimal place.

Notes

1: When transmission output power is 20 W \times 2

2: When transmission output power is $10 \text{ W} \times 2$

(4) Measurement Methodology

[1] Measurement configuration

[Integrated]



 $\mathbf{\mathbf{X1}}$ An ammeter or voltmeter may be used in place of a wattmeter



*1 An ammeter or voltmeter may be used in place of a wattmeter.

*2 The eNodeB power consumption is the sum of wattmeters #1 through #4.

[2] Measurement conditions

[Segregated]

[Environment and Electrical Conditions]

Item		Condition	Notes
Environment	Room	+25℃±5℃	
conditions	temperature		
Primary	DC	DC -48V ± 1%	
supply-side input			
power voltage			
Operating	20W×2ANT	•No more than Designated	Measure transmission
conditions	10W ×2ANT	power +12.2% (+0.5dB)	power using TELEC-T137
		 Measure up to one decimal 	measurement method for
		point	antenna power.

[Non-Environmental and Electrical Conditions]

- (a) This guideline does not stipulate the number of units of eNodeB equipment to be measured. However, use an average value when measuring multiple units.
- (b) This guideline does not stipulate the number of times measurements shall be taken for each item in relation to an eNodeB equipment. However, use an average value when measuring multiple times.
- (c) The following functions are necessary functions for an eNodeB and the measurement shall be conducted when continuous power is supplied to these functions.

•Upstream receiving current, line interface, (S1/X2 interface), RRC processor, MAC processor, RLC processor, and PDCP processor.

(d) Begin measurements after the equipment has been exposed to room

temperature for one or more hours with the power on.

MAC: Medium Access Control, PDCP: Packet Data Convergence Protocol, RLC: Radio Link Control, RRC: Radio Resource Control

Item		Measurement method	
Primary supply-side input power at maximum transmission power	Pmax	Set to 3GPP TS36.141 E-TM1.1 transmission state.	Measure using a wattmeter. (Using an ammeter or voltmeter is acceptable.)
Primary supply-side input power when there is no load	Pidle	Set to 3GPP TS36.141 E-TM1.1 transmission state without the traffic resource blocks. Furthermore, operational conditions with no traffic (but with broadcast information) shall be permissible.	

[Measurement Methodology]

- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.5.2(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result in 5.2.5.2(5) [1].
- [3] Variance in assessment result Permissible variance due to differences in equipment (units) shall be determined in the future.

5.2.5.3 3G/LTE Multi-Radio Base Station Equipment

- (1) Equipment Definition
 - Single 3GPP-standard compliant radio base station equipment which can handle both "3G radio (W-CDMA)" and "LTE radio formats."
 - This equipment shall be composed of radio equipment (RE) and radio equipment control (REC) and shall not include external devices such as maintenance tools, external power supply, rectifiers and remote tilt control device.
 - The following table shall define the components and scope of this equipment.

Name	10 MHz (LTE+3G)		
Composition	Segregated type 10W		
Power/Sector	10W+10W		
Number of sectors	LTE: 1 sector 3G: 1 sector		
Channel bandwidth/Carrier	LTE: 5MHz/1 Carrier 3G: 5MHz/1 Carrier		

Note) Segregated type: the RE and REC are in separate locations and each run by their own independent power supply.

3GPP: 3rd Generation Partnership Project

LTE: Long Term Evolution REC: Radio Equipment Control RE: Radio Equipment



REC: Radio Equipment Control RE: Radio Equipment

[Common Equipment Specifications]				
LTE functional part	3G functional part			
Not sp	pecified			
See prev	ious table			
See prev	ious table			
1	1			
Transmitters: 2	Transmitters: 2			
Receivers: 2	Receivers: 2			
Transmitters: OFDMA	Transmitters: W-CDMA			
Receivers: SC-FDMA	Receivers: W-CDMA			
FDD				
Transmitters: QPSK, 16 QAM, 64 QAM	Transmitters: QPSK, 16 QAM			
	nt Specifications] LTE functional part Not sp See prev See prev 1 Transmitters: 2 Receivers: 2 Transmitters: OFDMA Receivers: SC-FDMA F Transmitters: QPSK, 16 QAM, 64 QAM			

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	Receivers: QPSK, 16 QAM	Receivers: QPSK
Line interface	Gigabit Ether	rnet x n (n \ge 1)
(S1/X2 interface or Iub		
interface)		
Primary power supply	DC	-48V
Redundancy	N	one

OFDMA: Orthogonal Frequency Division Multiple Access SC-FDMA: Single Carrier-Frequency Division Multiple Access QPSK: Quadrature Phase Shift Keying 16QAM: 16 Quadrature Amplitude Modulation 64QAM: 64 Quadrature Amplitude Modulation W-CDMA: Wideband Code Division Multiple Access

- (2) Figures of Merit
 - 3G/LTE multi-radio base station equipment figure of merit shall be a value that is the total transmission output divided by the average supply side power input.

Figure of Merit: $E = \frac{2P_n}{P_{idle} \times (1 - a) + P_{max} \times a}$

P_n: Transmitted power (W) of antenna connector n P_{idle}: Primary supply-side input power (W) when there is no load P_{max}: Primary supply-side input power (W) during maximum transmission α Daily average transmission traffic rate

<3G/LTE Multi-Radio Base Station Equipment> Calculate using $\alpha = 0.5$ due to lack of actual performance data.

[Transmission power of 3G 2 antennas base station equipment] P_{3G out}: P_{3G out1} + P_{3G out2} P_{3G out1}: Transmission power (W) from antenna connector 1 P_{3G out2}: Transmission power (W) from antenna connector 2

[Transmission power of LTE 2 antennas base station equipment] PLTE out: PLTE out1 + PLTE out2 P_{LTE out1}: Transmission power (W) from antenna connector 1 P_{LTE out2}: Transmission power (W) from antenna connector 2

[Average input power of entire equipment] $P_{in} = (P_{idle} + P_{max})/2$ P_{idle}: Primary supply-side input power (W) when there is no load P_{max}: Primary supply-side input power (W) during maximum transmission Figure of Merit: $E = (P_{3G \text{ out}} + P_{LTE \text{ out}})/\{(P_{idle} + P_{max})/2\}$

[Definition of P_{idle}, P_{max}]

- P_{idle}, P_{max} shall be defined as the primary supply-side input power under the following conditions.
- P_{idle}, P_{max} shall be measured when both 3G and LTE are simultaneously transmitting.

	3G (W-CDMA)	LTE
P _{idle}	3GPP TS25.141 test model 4 transmission state	3GPP TS36.141 E-TM1.1 state, but without traffic resource blocking. Operational state without traffic (with broadcast information) shall be possible.
P _{max}	3GPP TS25.141 test model 1 transmission state	3GPP TS36.141 E-TM1.1 transmission state

(3) Normative References

[1] Method for determining normative references

Normative references for 3G/LTE multi-radio base station equipment shall be an enhanced value based on average values for products shipped in FY2012 (CIAJ survey) accounting for technical trends.

[Normative References] Segregated Type 10W Equipment: 5.90 (%)

【Approximate Date for Achieving Normative References】 End of Fiscal Year 2015

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Average power consumption for normative reference for segregated type 10W equipment: 338.98W

Assessment	Rate of reduction vs. power	Average power consumption normative
Scale	consumption at NR	reference (W) for segregated 10W equipment
		(Note 1)
****	n≧30%	P≦237.28
****	$20\% \le n < 30\%$	237.28 <p≦271.18< td=""></p≦271.18<>
***	$10\% \le n < 20\%$	271.18 <p≦305.08< td=""></p≦305.08<>
**	$0\% \le n < 10\%$	305.08 <p≦338.98< th=""></p≦338.98<>
(include NR)		
*	Normative reference not achieved	P>338.98

(Note) For power consumption, calculate values to the second decimal place.

(Note 1) When transmission output power is $10 \text{ W} \times 2$

(4) Measurement Methodology

[1] Measurement configuration [Segregated Type]



[2] Measurement conditions [Environment and Electrical Conditions]

Item		Condition	Notes
Environmental	Room	+25°C±5°C	
conditions	temperature		
Primary	DC	DC -48V ± 1%	
supply-side			
input power			
voltage			
Operating	10W ×2ANT	•No more than designated power	Measure transmission power
conditions	(segregated	+12.2% (+0.5dB)	using TELEC-T137
	type)	•Measure up to one decimal point	measurement method for
			antenna power.

[Non-Environmental and Electrical Conditions]

- (a) This guideline does not stipulate the number of equipment to be measured. However, use an average value when measuring multiple units.
- (b) This guideline does not stipulate the number of times measurements shall be taken for each item. However, use an average value when measuring multiple times.

(c) Measurement for the following functions shall be conducted with continuous power supplied to these parts.

• Uplink receiving current, line interface, RRC processing part, MAC processing part, RLC processing part, and PDCP processing part.

(d) Begin measurements after the equipment has been exposed to room temperature for one or more hours with the power on.

MAC: Medium Access Control, PDCP: Packet Data Convergence Protocol, RLC: Radio Link Control, RRC: Radio Resource Control

Item		Measurement method	
Primary supply-side input power at maximum transmission power	Pmax	[3G (W-CDMA)] Set to 3GPP TS25.141 test model 1 transmission state [LTE] Set to 3GPP TS36.141 E-TM1.1 transmission state	Measure using a wattmeter. (Using an ammeter or voltmeter is acceptable.)
Primary supply-side input power when there is no load	Pidle	[3G (W-CDMA)] Set to 3GPP TS25.141 test model 4 transmission state [LTE] Set to 3GPP TS36.141 E-TM1.1 state, but without traffic resource blocking. Operational state without traffic (with broadcast information) shall be possible.	

[Measurement Methodology]

- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.5.3 (4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result determined in 5.2.5.3 (5) [1].
- [3] Variance in assessment result.

Permissible variance due to differences in equipment (units) shall be determined in the future.

5.2.5.4. LTE-Advanced Base Station Equipment

- (1) Equipment Definition
 - An LTE/E-UTRAN base station (eNodeB) that complies with 3GPP standards (Release10 or later).
 - Comprises of eNodeB control units and radio units. Does not include maintenance tools, external power sources, rectifiers, remote tilt controller or the like.
 - Scope applies to the following implemented configurations

Name	Segregated 10W Device
Output power per sector	10W + 10W
Radio unit and control unit configuration	Segregated

Note:

Segregated: Radio unit and control unit are driven by separate power sources.



Note:

3GPP: 3rd Generation Partnership ProjectLTE: Long Term Evolution CA: Carrier AggregationE-UTRAN: Evolved UMTS Terrestrial Radio Access NetworkeNodeB: evolved Node B REC: Radio Equipment Control

RE: Radio Equipment

Item	Specifications	Notes
Frequency band	Combination of 2GHz and 800MHz	
Signal bandwidth	10MHz	
Number of carriers	2 Carriers	LTE-Advanced
Number of sectors	24	
Number of anntenas	Transmit: 2	MIMO
	Receive: 2 (space diversity)	
Radio access method	Transmit: OFDMA	
	Receive: SC-FDMA	
Multiplexing method	FDD	
Modulation method	Transmit: QPSK, 16QAM, 64QAM	
	Receive: QPSK, 16QAM	
S1 interface	Gigabit Ethernet x n or	n:1 or more
	10Gigabit Ethernet x n	
Primary Power	DC -48V	
supply input		
Redundancy	Not applicable	
configuration		

[Common Equipment Specifications]

MIMO: Multiple Input Multiple Output

OFDMA: Orthogonal Frequency Division Multiple Access SC-FDMA: Single Carrier-Frequency Division Multiple Access FDD: Frequency Duplex Division QPSK: Quadrature Phase Shift Keying 16QAM: 16 Quadrature Amplitude Modulation 64QAM: 64 Quadrature Amplitude Modulation

(2) Figures of Merit

• LTE-Advanced base station equipment figure of merit shall be the maximum throughput per average power consumption.

Figure of Merit: $E = \sum T_i / \lceil P_{idle} \times (1 - \alpha) + P_{max} \times \alpha \rfloor$

T_i: Maximum throughput per 1CA cell(i) in P_{max} state (mesured) (Mbps) Due to difficulty of measurement under the operating conditions including the commercial terminals,

- ΣT_i =Maximum theoretical value of the device, by the signal bandwidth 10Mhz, the number of sectors × 150Mbps.
- P_{idle}: Primary supply-side input power (W) when there is no load, with no traffic.
- P_{max}: Primary supply-side input power (W) during maximum throughput, with maximum traffic.

 α : Daily average transmission traffic rate

Calculate using $\alpha = 0.5$ due to lack of available operating data.

"Power consumption = power consumption of REC + power consumption of REC × number of cells".

(3) Normative References

[1] Method for determining normative references

Normative references for the abovementioned three types of LTE-Advanced base station equipment (Segregated 10W Device) shall reflect improvements upon average values for current products (per CIAJ) based on technical trends.

【Normative References】 Segregated 10W Device of 24 sectors type: 0.4542 (Mbps/w)

<Reference> Informative value of 6 sectors type Segregated 10W Device of 6 sectors type: 0.3600 (Mbps/w)

[Approximate Date for Achieving Normative References] End of Fiscal Year 2018

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Average power consumption for normative reference for segregated 10W equipment of 24 sectors type: 7,926.02(w)

Assessment	Rate of reduction vs.	Average power consumption normative reference
Scale	power consumption at NR	(W) for segregated 10W equipment
		(24 sectors type)
****	n≧15%	P≦6,737.11
****	$10\% \le n < 15\%$	6,737.11 <p≦7,133.41< th=""></p≦7,133.41<>
***	$5\% \le n < 10\%$	7,133.41 <p≦7,529.71< th=""></p≦7,529.71<>
★★ (include NR)	$0\% \leq n < 5\%$	7,529.71 <p≦7,926.02< th=""></p≦7,926.02<>
*	Normative reference not achieved	P>7,926.02

(Note) In power consumption, calculate values to the second decimal place.



(4) Measurement Methodology[1] Measurement configuration

[2] Measurement conditions

Environment and Electrical Conditions

Item		Condition	Notes
Environment	Room	+25℃±5℃	
conditions	temperature		
Primary	DC	DC -48V ± 1%	
supply-side input			
power voltage			

[Non-Environmental and Electrical Conditions]

- (a) This guideline does not stipulate the number of units of eNodeB equipment to be measured. However, use an average value when measuring multiple units.
- (b) This guideline does not stipulate the number of times measurements shall be taken for each item in relation to an eNodeB equipment. However, use an average value when measuring multiple times.
- (c) The following functions are necessary functions for an eNodeB and the measurement shall be conducted when continuous power is supplied to these functions.
 - •Upstream receiving current, line interface, (S1/X2 interface), RRC processor, MAC processor, RLC processor, and PDCP processor.
- (d) Begin measurements after the equipment has been exposed to room

temperature for one or more hours with the power on.

(e) Set in the system configuration that put out the maximum throughput in all sectors.

MAC: Medium Access Control, PDCP: Packet Data Convergence Protocol, RLC: Radio Link Control, RRC: Radio Resource Control

Item		Measurement method
1. Test paturn transmissio	n	
Primary supply-side	Pmax	Set to 3GPP TS36.141 E-TM1.1 transmission
input power at maximum		state.
transmission power		
Primary supply-side input	Pidle	Set to 3GPP TS36.141 E-TM1.1 transmission
power when there is no		state without the traffic resource blocks.
load		Furthermore, operational conditions with
		no traffic (but with broadcast
		information) shall be permissible.
2. Measurement of power		Measure using a wattmeter under the
consumption		measurement conditions of 1.
		(Using an ammeter or voltmeter is
		acceptable.)

[Measurement Methodology]

(5) Assessment Result and Assessment Scale Ranking

[1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.5.4(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result in 5.2.5.4(5) [1].
- [3] Variance in assessment result Permissible variance due to differences in equipment (units) shall be determined in the future.

5.2.6. Power Equipment

5.2.6.1. External Power Source (AC Adapters)

(1) Equipment Definition

In this Guideline, the term refers to an AC-DC converter that takes AC commercial power supply and provides DC power to the equipment.

This assessment applies to external power sources for customer-premises equipment having a name plate output (rated output) P_{no} (P_{no} : Nameplate Output Power) of 1W<Pno \leq 49W.

This assessment applies to AC adapters procured separately. Those which come as an attachment with equipment shall be assessed as part of the equipment itself and shall be excluded from the assessment of this section.

(2) Figure of merit

The figures of merit are established in reference to the figures of merit set forth Energy Conservation Program (Energy Conservation Standards for Battery Charges and External Power Supplies released by the U.S. Department of Energy). Evaluate average conversion efficiency η at nameplate output P_{no} (W) based on conversion efficiency at 25% load η_{25} , conversion efficiency at 50% load η_{50} , conversion efficiency at 75% load η_{75} and conversion efficiency at 100% load η_{100} .

 $\eta = (\eta_{25} + \eta_{50} + \eta_{75} + \eta_{100})/4$

(3) Normative References

[1] Method for determining normative references

- The values designated in the Energy Conservation Program(U.S. Department of Energy) shall be used as normative references .
- Decide individual normative references for each nameplate output.

[Normative References]

- The normative reference for standard voltage (6V and above) and low voltage (less than 6V) shall differ depending on output voltage.
 <Standard voltage (6V and above)>
 - The normative reference of the average conversion efficiency η shall be $[0.071 \ln(P_{no}) 0.0014 P_{no} + 0.67]$
 - The conversion efficiency at 10% load shall be 5% less than the value calculated above
 - The standby power consumption shall be 0.075W or less.

< Low voltage (less than 6V)>

The normative reference of the average conversion efficiency η shall be $[0.00834 \ln(P_{no}) - 0.0014 P_{no} + 0.609]$

- The conversion efficiency at 10% load shall be 5% less than the value calculated above
- The standby power consumption shall be 0.03W or less.

[Approximate Date for Achieving Normative References] End of Fiscal Year 2019

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Standard voltage (6V and above)

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η (%)
****	n≧30%	$\eta \ge 0.05778 \ln(P_{no}) - 0.0012 P_{no} + 0.746$
****	$20\% \le n < 30\%$	$\begin{array}{c} 0.0628 \ln(P_{no}) - 0.00129 P_{no} + 0.719 \leq \eta < 0.05778 \ln(P_{no}) - \\ 0.0012 P_{no} + 0.746 \end{array}$
***	$10\% \le n < 20\%$	$\begin{array}{c} 0.0674 \ln(P_{no}) - 0.00136 \ P_{no} + 0.694 \leq \eta < 0.0628 \ln(P_{no}) - \\ 0.00129 \ P_{no} + 0.719 \end{array}$
★★ (includes NR)	$0\% \le n < 10\%$	$\begin{array}{c} 0.071 \ln(P_{no}) \text{ - } 0.0014 \ P_{no} \text{ + } 0.67 \leq \eta \text{<} 0.0674 \ln(P_{no}) \text{ - } 0.00136 \\ P_{no} \text{ + } 0.694 \end{array}$
*	Normative reference not achieved	$\eta < 0.071 \ln(P_{no}) - 0.0014 P_{no} + 0.67$

(Note) P_{no} : Nameplate Output Power (W)

Low voltage (less than 6V)

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η (%)
****	n≧30%	$\eta \ge 0.00694 \ln(P_{no}) - 0.00127 P_{no} + 0.694$
****	$20\% \le n < 30\%$	$\begin{array}{c} 0.0745 \ln(P_{no}) - 0.00130 P_{no} + 0.664 \leq \eta < 0.00694 \ln(P_{no}) - \\ 0.00127 P_{no} + 0.694 \end{array}$
***	$10\% \le n < 20\%$	$\begin{array}{c} 0.0793 \ ln(P_{no}) 0.00136 \ P_{no} \mbox{+-} 0.636 \le \ \eta 0.0745 \ ln(P_{no}) \\ 0.00130 \ P_{no} \mbox{+-} 0.664 \end{array}$
★★ (includes NR)	$0\% \le n < 10\%$	$\begin{array}{c} 0.0834 \ln(\mathrm{P_{no}}) - 0.0014 \ \mathrm{P_{no}} + 0.609 \leq \eta < 0.0793 \ln(\mathrm{P_{no}}) - \\ 0.00136 \ \mathrm{P_{no}} + 0.636 \end{array}$
*	Normative reference not achieved	0.0834 ln(P _{no}) – 0.0014 P _{no} + 0.609

(Note) P_{no}: Nameplate Output Power (W)



(4) Measurement Methodology [1]Measurement system

AC Power Meter AC Regulated Power Supply O Voltmeter Voltmeter to be tested Voltmeter Vdc Vdc Voltmeter Vdc

AC regulated power supply

The AC regurlated power supply shall has the output power capacity that is ten times greater than the rated output power of the AC adaptor to be tested, and the voltage and frequency accuracy within ± 1%.

Shunt resistor

The shunt resistance shall matche the rated output power of the AC adapter to be tested, and the resistance accuracy within \pm 1%.

Electronic load

The electronic load shall match the rated output of the AC adapter to be tested.

[2] Measurement procedure

Using variable resistance, measure conversion efficiencies η_{100} , η_{75} , η_{50} and η_{25} at nameplate currents of 100%, 75%, 50%, and 25%, respectively, and seek the average value η .

[3] Measurement conditions

- Room temperature: 23°C ± 5°C. <u>Do not intentionally cool the AC</u> <u>adapter to be tested. Additionally, the AC adapter to be tested shall be</u> <u>placed on a non-heat transfer surface and measured.</u>
- Error tolerance of measurement equipment: the calibrated voltmeter/ammeter or wattmeter shall be used. Error tolerance: No more than 2% error for the ammeter/voltmeter. Precision of no less than 0.01 W for the wattmeter.
- Voltage applied: Measure upon applying voltage of 100 V (± 1 V) at frequencies of 50 Hz (± Hz) and 60 Hz (± 0.6 Hz). <u>The peak value of the applied voltage shall be within the range from 1.34 times to 1.49 times greater than the effective value of the applied voltage.</u>
- Preparations prior to measurement: Begin measurements after running 100% nameplate current through the external power source to be tested for no less than 30 minutes.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.6.1(4) Measurement Methodology.

[2] Assessment scale ranking

The assessment scale ranking $(\star \cdot \star \star \star \star)$ shall be based on normative reference and assessment result.

[3] Variance in assessment result

Permissible variance due to differences in equipment (units) shall be determined in the future.

Until then, submit the average measurement value of the figure of merit determined by the vendor as the assessment result.

- 5.2.6.2. Rectifiers
 - (1) Equipment Definition

A rectifier refers to AC-DC converters that receive primary energy from a commercial power supply, and supplies DC power to equipments such as a network equipment and server equipment, while floating-charges a storage battery the insulated secondary energy, which is a backup power source.

Applies to modules having an insulated power conversion function of power supply for telecommunications or cellular phone base stations and ICT equipment. However, this standard power supply does not apply to wireless base stations other than cellular phone base stations or to thyristor rectifiers. Furthermore, the output voltage is a nominal DC 48Vand 380V.

(2) Figure of Merit

The figure of merit is based on ATIS specified TEER^{*}, and shall be calculated using the following formula.

$$TEER = \frac{\sum_{i=3}^{8} \eta(i \times 10\%)}{6} \times 1,000$$
$$\eta = \frac{P_{out}}{P_{in}}$$

Single-phase AC input: $P_{in}[$ input power $] = V_{in}[$ input voltage $] \ge I_{in}[$ input current $] \ge PF[$ power factor]Three-phase AC input: $P_{in} = V_{in} \ge I_{in} \ge PF \ge \sqrt{3}$

DC output: *P*_{out}[output power] = *V*_{out}[output voltage] x *I*_{out}[output current]

- %1: ATIS-0600015.04.2010: Energy Efficiency for Telecommunication Equipment: Methodology for Measurement and Reporting DC Plant – Rectifier Requirements
- (3) Normative References
 - [1] Method for determining normative references

The normative references were determined by calculating the equipment TEER and analyzing product trends.

[Normative References] Single-phase AC100V input, 48V output rectifier: TEER=872 Single-phase AC200V input, 48V output rectifier: TEER=878 Three-phase AC200V/400V input, 48V output rectifier (output power capacity of less than 5kW): TEER=891 Three-phase AC200V/400V input, 48V output rectifier (output power capacity of 5kW or more): TEER=908 Three-phase AC200V/400V input, 380V output rectifier: TEER=944

[Approximate Date for Achieving Normative References] End of Fiscal Year 2017 (48V output rectifier) End of Fiscal Year 2017 (380V output rectifier)

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative

reference shall be $\star \star$.

Use the applicable assessment scale standard for equipment that supports multiple inputs such as single-phase AC100V/200V common systems.

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧45%	$TEER \ge 926$
****	$30\% \le n < 45\%$	$907 \leq \text{TEER} < 926$
***	$15\% \le n < 30\%$	$889 \leq TEER < 907$
★★ (includes NR)	$0\% \le n < 15\%$	872≦TEER<889
*	Normative reference not achieved	TEER<872

Single-phase AC100V input, 48V output rectifier

Single-phase AC200V input, 48V output rectifier

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧45%	$TEER \ge 929$
****	$30\% \le n < 45\%$	911≦TEER<929
***	$15\% \le n < 30\%$	$894 \leq \text{TEER} < 911$
★★ (includes NR)	$0\% \leq n < 15\%$	878≦TEER<894
*	Normative reference not achieved	TEER<878

Three-phase AC200V/400V input, 48V output rectifier (output power capacity of less than 5kW)

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧30%	$TEER \ge 921$
****	$20\% \le n < 30\%$	$911 \leq \text{TEER} < 921$
***	$10\% \le n < 20\%$	$901 \leq \text{TEER} < 911$
★★ (includes NR)	$0\% \le n < 10\%$	891≦TEER<901
*	Normative reference not achieved	TEER<891

Three-phase AC200V/400V input, 48V output rectifier (output power capacity of 5kW or more)

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧30%	$TEER \ge 934$
****	$20\% \le n < 30\%$	$925 \leq \text{TEER} < 934$
***	$10\% \le n < 20\%$	$916 \leq \text{TEER} < 925$
★★ (includes NR)	$0\% \le n < 10\%$	908≦TEER<916
*	Normative reference not achieved	TEER<908

Assessment Scale	Rate of reduction vs. power consumption at NR	Figure of Merit(TEER)
****	n≧30%	$TEER \ge 960$
****	$20\% \le n < 30\%$	$955 \leq \text{TEER} < 960$
***	$10\% \le n < 20\%$	$949 \leq \text{TEER} < 955$
★★ (includes NR)	$0\% \le n < 10\%$	944≦TEER<949
*	Normative reference not achieved	TEER<944

Three-phase AC200V/400V input, 380V output rectifier

(4) Measurement Methodology

In light of the stipulations of ATIS, the following measurement conditions shall be used.

- Room temperature: 25°C±3°C
- Humidity: 30%-75%
- Error tolerance of measurement equipment: Use voltmeters, ammeters or wattmeters that have been calibrated.

Measurement precision:

Ammeters and voltmeters: within ±1%

Wattmeters: within ±1%.

- Voltage applied: Measure after applying single-phase 100V(±1%) or single-phase 200V(±1%), three-phase 200V(±1%) or three-phase 400V (±1%) at a frequency of 50Hz(±1%) or 60Hz(±1%).
- Preparations before measurement: Apply current equivalent to 100% of nameplate current to the module to be tested for at least 30 minutes before beginning measurements.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.6.2 (4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result

Permissible variance due to differences in equipment (units) shall be determined in the future.

Until then, submit the average measurement value of the figure of merit determined by the vendor as the assessment result.

5.2.6.3 UPS

(1) Definition

An Uninterruptible Power System (UPS) is a combination of the semiconductor power converter, switch and energy storage device (such as fuel cells). It is a power supply unit which ensures uninterrupted load power during power failures.

The scope of the assessment shall cover the following continuous inverter power type UPS:

- 1) Rated output voltage for three-phase 200V with trans, where rated load is 200 kVA or above.
- 2) Rated output voltage for three-phase 415V with trans, where rated load is 500 kVA or above.
- 3) Rated output voltage for three-phase 200V transless, where rated load is 200 kVA or above and less than 500 kVA.
- 4) Rated output voltage for three-phase 415V transless, where rated load is 200 kVA or above.

(2) Figure of Merit

The figure of merit shall be the average efficiency η of load rates at four points (25%, 50%, 75%, 100%). The average efficiency η shall be calculated as follows.

 $\eta = (\eta_{25} + \eta_{50} + \eta_{75} + \eta_{100})/4$

- (3) Normative reference
 - [1] Method for determining normative references

The normative reference shall be an enhanced value using the IEC average guideline value as reference and taking product trends into account.

[Normative reference]

Three-phase 200V UPS (200 kVA or above and less than 500 kVA): $\eta = 89.2$ (%) Three-phase 200V UPS (500 kVA or above): $\eta = 89.9$ (%) Three-phase 415V UPS (500 kVA or above): $\eta = 90.9$ (%) Three-phase 200V transless UPS (200 kVA or above and less than 500 kVA): $\eta = 92.7$ (%) Three-phase 415V transless UPS (200 kVA or above and less than 500 kVA): $\eta = 95.5$ (%) Three-phase 415V transless UPS (500 kVA or above): $\eta = 96.2$ (%)

[Approximate Date for Achieving Normative References] End of Fiscal Year 2018.

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

If the average efficiency and average conversion efficiency for the UPS rated output voltage are not indicated in the table, they shall be calculated by using the line interpolation of the voltage value based on the concept articulated in IEC62040-3:2011 Annex I (Informative).

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧93.7
****	$30\% \le n < 45\%$	92.2≦ η <93.7
***	$15\% \le n < 30\%$	90.6≦ η <92.2
★★ (includes NR)	$0\% \le n < 15\%$	89.2≦η<90.6
*	Normative reference not achieved	η<89.2

a) Three-phase 200V with trans, 200 kVA or above and less than 500 kVA.

b) Three-phase 200V with trans, 500 kVA or above.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧94.2
****	$30\% \le n < 45\%$	92.7≦η<94.2
***	$15\% \le n < 30\%$	91.3≦η<92.7
★★ (includes NR)	$0\% \le n < 15\%$	89.9≦η<91.3
*	Normative reference not achieved	η<89.9

c) Three-phase 415V with trans, 500 kVA or above.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧94.8
****	$30\% \le n < 45\%$	93.5≦ η <94.8
***	$15\% \le n < 30\%$	92.2≦ η <93.5
★★ (includes NR)	0%≦n<15%	90.9≦ η <92.2
*	Normative reference not achieved	η<90.9

d) Three-phase 200V transless, 200 kVA or above and less than 500 kVA.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧30%	η≧94.8
****	$20\% \le n < 30\%$	94.1≦
***	$10\% \le n < 20\%$	93.4≦η<94.1
★★ (includes NR)	$0\% \le n < 10\%$	92.7≦ η<93.4
*	Normative reference not achieved	η<92.7

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧97.5
****	$30\% \le n < 45\%$	96.8≦ η <97.5
***	$15\% \le n < 30\%$	96.1≦η<96.8
★★ (includes NR)	$0\% \le n < 15\%$	95.5≦η<96.1
*	Normative reference not achieved	η<95.5

e) Three-phase 415V transless, 200 kVA or above and less than 500 kVA.

f) Three-phase 415V transless, 500 kVA or above.

Assessment scale	Rate of reduction vs. power consumption at NR	Average conversion efficiency η
****	n≧45%	η≧97.9
****	$30\% \le n < 45\%$	97.3≦ η <97.9
***	$15\% \le n < 30\%$	96.8≦ η <97.3
★★ (includes NR)	0%≦n<15%	96.2≦η<96.8
*	Normative reference not achieved	η<96.2

(4) Measurement Methodology

The measurement conditions and methodology for UPS efficiency (η) shall be compliant with IEC 62040-3:2011.

- [1] Measurement conditions
 - a) Environmental conditions

Environmental conditions must be maintained within the following parameters.

Ambient temperature: 20° C ~ 30° C

Humidity: 20% ~ 80%

Altitude: 1,000 m or below

b) Operational and electrical conditions

Measurement of efficiency for UPS shall be conducted with the standard test load set as load power factor 1 and active power can be set to 25%, 50%, 75% and 100% versus the rated output. The requirements for each measurement shall be as follows.

- 1) UPS shall be run at normal operational state.
- 2) Energy storage systems shall be disconnected.
- 3) The UPS and the load shall be run for a sufficient time in order to achieve a stable state. A testing duration of 125% of the time spent in testing temperature rise shall be deemed sufficient. An alternative condition deemed as stable state shall be where temperature difference of 2°C or less is recorded at 10-minute or longer intervals for at least 3 consecutive readings.
- 4) Each load condition shall be within $95\% \sim 105\%$ of target load and the load power rate shall be 0.99 or above.

- 5) All ancillary systems which run in normal operational state shall be running during measurement.
- 6) Input conditions of UPS alternate current shall be one of the following.
 - Rated voltage of 97% ~ 103% and rated frequency between 99% ~ 101%.
 - Within the voltage distortion listed in the table below and nominal frequency of ±1 Hz.

Odd order harmonic that		Odd orde	er harmonic	Even order harmonic	
are not multiples of 3		that are multiples of 3			
Harmonic	Harmonic	Harmonic	Harmonic	Harmonic	Harmonic
order (h)	voltage (%)	order (h)	voltage (%)	order (h)	voltage (%)
5	6	3	5	2	2
7	5	9	1.5	4	1
11	3.5	15	0.4	6	0.5
13	3	21	0.3	8	0.5
17 <h≦49< td=""><td>2.27 x (17/h)</td><td>21<h≦45< td=""><td>0.2</td><td>10<h≦50< td=""><td>0.25 x (10/h)</td></h≦50<></td></h≦45<></td></h≦49<>	2.27 x (17/h)	21 <h≦45< td=""><td>0.2</td><td>10<h≦50< td=""><td>0.25 x (10/h)</td></h≦50<></td></h≦45<>	0.2	10 <h≦50< td=""><td>0.25 x (10/h)</td></h≦50<>	0.25 x (10/h)
	- 0.27				+ 0.25

c) Measuring equipment

The combination of measuring equipment and transducer used in measuring UPS efficiency must satisfy the following conditions.

- Even in cases where harmonic component is included in voltage and/or current wave form, accurate effective value measurement must be taken of effective power input and output, within 0.5% deviation with rated load at 95% confidence level.
- Input and output must be measured simultaneously.
 - The margin for efficiency shall be calculated as follows, with the limits for conventional efficiency at -0.2% and actual efficiency at -0.6%.

$$-20\left(1-\frac{\eta}{100}\right)$$
 (%)

- [2] Measurement methodology
 - a) Standard method

Conduct the UPS efficiency measurement according to the conditions designated in [1] a) and b), using the measuring equipment indicated in [1] c) and following the procedures below.

- 1) When measuring normative testing load at 100%, it will be necessary to allow sufficient time to reach stable state mentioned above after connecting the UPS output.
- 2) The effective input and output power shall be measured 3 consecutive times within 15-minute intervals each. UPS efficiency shall be calculated from each reading.
- 3) Get the arithmetic average from the UPS efficiency figures calculated in 2). The result shall be the measured efficiency figure.
- 4) Load conditions of 75%, 50% and 25% shall be done separately by
repeating procedures 1), 2) and 3) respectively.

b) Alternative method

If it is difficult to gain access to compliant measuring equipment and/or transducer indicated in [1] c), the following standard method may be used as an alternative.

Step 3) in procedure [2] a) shall be replaced with the following.

The measuring equipment and/or transducer attached to the input and output shall be exchanged and step a) 2) above shall be repeated. A total of 6 UPS efficiency measurement results shall be gained for use in calculating the arithmetic average, which shall be the efficiency measurement figure.

- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.6.3 (4) Measurement Methodology.

[2] Assessment scale ranking

The assessment scale ranking $(\star \cdot \star \star \star \star)$ shall be based on normative reference and assessment result.

5.2.7 Server Equipment

5.2.7.1. Servers

The assessment standard for servers shall be different for active state and idle state in order to more accurately reflect actual operating conditions. The figure of merit specified by SPEC® (Standard Performance Evaluation Corporation) shall be applied as the assessment standard for active state. The top runner standard (2010 Ministerial Notification No. 74 from METI) shall be applied as the assessment standard for idle state.

(1) Definition

In this Guideline, a server is a computer device comprising of a central processing unit (CPU), a main memory, an input/output control and a power source. It is designed to exist as part of a network to provide information and services to other computer devices 24/7. It must be able to use an operating system (OS) so that it can be installed with and run user applications. Examples of servers include but are not limited to the following:

- > file servers
- > mail servers
- > database servers
- > authentication servers
- > web servers
- > media servers

> game servers

* Devices sold as a single unit which are actually a combination of hardware and software (such as appliances) shall be included under servers in this section if all that is needed to change the device to a particular use is the installation of (a) user application(s).

[Devices included in the scope above]

Devices which fall within the scope for assessment in active state are categories H, I, J of the Energy Conservation Law and JVM (Java Virtual Machine) active equipment.

Devices which fall within the scope for assessment in idle state are all categories of the Energy Conservation Law (A – L).

Since the scope of equipment, figure of merit, normative reference, and measurement methodology for assessment standards for active state and idle states are different, they are noted separately below.

<<Assessment standard for active state>>

(2) Figure of merit

The figure of merit, SPECpower_ssj®, specified for servers by SPEC®^{*1} shall be applied, and will be calculated as follows:

E=overall ssj_ops/watt=∑ssj_ops/∑power consumption (W)

ssj_ops is a metric for server processing capacity and is attained by implementing SPECpower_ssj®2008 v. 1.10 or later version server power consumption performance benchmark test program by SPEC® to the servers included in the scope of the assessment. The performance (ssj_ops) at CPU load rates (0 – 100%) and average power consumption are measured, then the capacity (ssj_ops) at the respective target loads are totaled and divided by the total average power consumption (W) (\sum ssj_ops/ \sum power), and that figure is considered to be the capacity metric (overall ssj_ops/watt) per electric power.

^{*1} http://spec.org/power_ssj2008.

(3) Normative reference

[1] Method for determining normative references

A trend analysis of the product shall be conducted based on publicly disclosed SPEC® data.

[Normative reference] E=3,600

[Approximate Date for Achieving Normative Reference]

The end of Fiscal Year 2017

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Assessment	Rate of reduction vs.	Energy efficiency rati	o E per category
scale	power consumption at NR	H, I, J, L	A - G, K
****	n≧82%	E≧20,775	
****	$76\% \le n < 82\%$	$15,050 \le E < 20,775$	
***	$61\% \le n < 76\%$	9,325≦E<15,050	
★★ (includes NR)	$0\% \leq n < 61\%$	$3,600 \le E < 9,325$	TBD
*	(Normative reference not achieved)	E<3,600	

(Note) Comparison of the rate of reduction against power consumption at normative reference among equipment with similar processing capacity (∑ssj_ops value).

(4) Measurement methodology

[1] Measurement methodology

The server power consumption performance benchmark testing program, SPECpower_ssj®2008 v1.10 or later versions, provided by SPEC® shall be used. For details, refer to the following sites (*2).

*2: SPEC® User Guide

http://www.spec.org/power_ssj2008/docs/SPECpower_ssj2008-User_G uide.pdf

[2] Measurement conditions

Measurements shall be taken in compliance to the SPEC® measurement conditions (*1, *2). For areas not covered by SPEC®, the following criteria shall be applied, taking into consideration the equipment's use in Japan.

Temperature: $25^{\circ}C\pm 5^{\circ}C$ Humidity: 30% - 75%Voltage: $-53VDC\pm 5\%$, $100V\pm 5\%$ ($50/60Hz\pm 5\%$), $202V\pm 5\%$ ($50/60Hz\pm 5\%$) Furthermore, measurements shall be taken in steady-state.

- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.7.1(4) Measurement Methodology. Furthermore, if

the equipment is already registered with SPEC®, use the same values as the registered values.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result Based on SPEC® measurement methodology, this Guideline does not specify permissible variance.
- << Assessment standard for idle state>>
- (6) Figure of merit

Adopt the assessment standard based on the top runner method for computers (2010 Ministerial Notification No. 74 from the Ministry of Economy, Trade and Industry), which are specified equipment in the Energy Conservation Law. Normative reference and assessment scale are to be determined.

Figure of merit $E = {(W1+W2)/2}/Q$

In this equation, E, (W1+W2)/2, W1, W2 and Q shall indicate the following values:

E: energy efficiency ratio (W/giga calculations)

(W1+W2)/2: power consumption (W)

W1: power consumption in idle state (W)

W2: power consumption in low-power mode (W)

Q: theoretical operation (unit: giga calculations)

- (Note) For computers without low-power mode, W2 and W1 are the same value.
- (7) Normative References

[1] Method for determining normative references

Adopt the assessment standard based on the top runner method for computers (Type: server type computers), which are specified equipment in the Energy Conservation Law.

[Normative References]

Specific normative references are as follows:

	Categ	gory		Energy conservation
СРИ Туре	No. of I/O slots	No. of CPU	Category	efficiency (W/GTOPS)
		sockets	name	
Dedicated	n<32		А	1,950
CISC	n≧32		В	2,620
RISC	n<8		С	7.2
	8≦ n<40		D	17.2

	n≧40		Е	77.8
IA64	n<10		F	3.4
	n≧10		G	12.2
IA32	0		Н	0.7
		n<2	Ι	0.7
	1≦n<7	$2 \leq n < 4$	J	1.1
		$n \ge 4$	К	3.4
	n≧7		L	4.1

【Approximate Date for Achieving Normative References】 End of Fiscal Year 2017

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

	Rate of reduction	Energy efficiency per category	
Assessment	vs. power	En (W/GTOPS)	Notes
scale	consumption at		
	NR	All categories (A-L)	
****	n≧60%	En≦E×0.4	See the
****	$40\% \le n < 60\%$	$E \times 0.4 \le E \times 0.6$	aforementioned
***	$20\% \le n < 40\%$	$E \times 0.6 \le E \times 0.8$	Normative References Table
**	$0\% \le n \le 20\%$	$E \times 0.8 \times E = 5$	for F (W/GTOPS)
(includes NR)	0 /0 =11 < 20 /0	E^0.0>EII = E	Normative
*	Normative		References
	reference not	E <en< td=""><td></td></en<>	
	achieved		

(8) Measurement Methodology

Measurement conditions are as follows, taking into account the substance of the 2010 Ministerial Notification No. 74 from the Ministry of Economy, Trade and Industry, which sets forth judgment standards for manufacturers of computers per the stipulations of the Energy Conservation Law.

- [1] Power consumption in an idle state shall be power consumption while in a state where there is an electrical connection to the main power source and it is possible to operate without resetting the initial program, but before moving into a lower power consumption mode such as stand-by mode or suspend mode per ACPI standards, and shall be expressed in watts and measured using the following methodology.
- [2] Peripheral temperature shall be 16° C- 32° C.
- [3] Power source voltage shall be within ±10% of rated input voltage. However, for equipment having a rated input voltage of 100V, power

source voltage shall be 100V±10%.

- [4] Power source frequency shall be rated frequency.
- [5] Measure the maximum configuration with all detachable equipment, such as I/O control devices, communications control devices and a magnetic disc devices that can be detached from the computer without impeding the basic functions of the computer excluded. However, for equipment where the number of processors is scalable, take measurements using the minimum possible number of processors.
- (9) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.7.1(8) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result

With the announcement of 2010 Ministerial Notification No. 74 from the Ministry of Economy, Trade and Industry, this Guideline does not specify permissible variance.

5.2.8. Storage Equipment

5.2.8.1. Storage Equipment

(1) Equipment Definition

Storage equipment under this guideline shall be storage equipment having multiple disc drives, comprising of a magnetic disc control unit and a magnetic disc device, and is used with mainframe or other servers as an external memory device.

However, this guideline does not apply to storage equipment having a maximum data transmission speed of more than 270 GB per second.

(2) Figure of Merit

Adopt the top runner standard (2010 Ministerial Notification No. 75 from the Ministry of Economy, Trade and Industry) for magnetic disc devices (Type: subsystems), which are specified equipment in the Energy Conservation Law. The specific figure of merit is as follows.

Figure of Merit: E= Power consumption (W)/Storage capacity^{*}(GB)

*: Maximum amount that can be physically stored to memory. For dedicated mirroring systems, redundant sub systems and disc arrays, include the storage capacity in the mirrored portion, the replicated portion of the redundant sub system and the redundant portion of the disc array.

(3) Normative References

[1] Method for determining normative references

Adopt the top runner standard for magnetic disc devices (Type: subsystems), which are identified as specified equipment in the Energy Conservation Law. The specific figure of merit is as follows.

[Normative References]

Energy efficiency ratio (E), which is the normative reference, differs per category, and is expressed in the equation with the number of revolutions (N) indicated as a variable.

Specific normative references are as follows.

Category	Equipment/Application	Normative References
М	For mainframe servers	E=exp(1.85*ln(N)-18.8)
N	For uses other than mainframe servers	E=exp(1.56*ln(N)-17.7)

Examples of normative references by rpm •For mainframe servers

> 7,200 rpm: 0.094 10,000 rpm: 0.18 15,000 rpm: 0.37

·For uses other than mainframe servers

7,200 rpm: 0.022 10,000 rpm: 0.036 15,000 rpm: 0.068

【Approximate Date for Achieving Normative References】 End of Fiscal Year 2011

[2] Assessment scale references

Set a threshold using the rate of reduction versus the power consumption at normative reference. In assessment scale, the rank including normative reference shall be $\star \star$.

Assessment scale	Rate of reduction vs. power consumption at NR	Energy consumption efficiency En	Notes
*****	n≧60%	$En \leq E \times 0.4$	Normative references
****	$40\% \le n < 60\%$	$E \times 0.4 < En \leq E \times 0.6$	E (W/GB) are based
***	$20\% \le n < 40\%$	$E \times 0.6 \le E \times 0.8$	on the table under (3)

★★ (includes NR)	$0\% \le n < 20\%$	E×0.8 <en≦e< th=""><th>Normative References.</th></en≦e<>	Normative References.
*	Normative reference not achieved	E <en< td=""><td></td></en<>	

(4) Measurement Methodology

Measurement conditions are as follows, taking into account the substance of the 2010 Ministerial Notification No. 75 from the Ministry of Economy, Trade and Industry, which sets forth judgment standards, among other things, for manufacturers of computers per the stipulations of the Energy Conservation Law.

The energy consumption efficiency of storage equipment is the power consumption measured using the following methodology and expressed in watts divided by storage capacity expressed in Gigabytes. However, in cases where actual measurement is difficult, the value may be calculated using a formula.

- [1] Peripheral temperature shall be 16° C- 32° C.
- [2] Power source voltage shall be within ±10% of rated input voltage. However, for equipment having a rated input voltage of 100V, power source voltage shall be 100V±10%.
- [3] Power source frequency shall be rated frequency.
- [4] For subsystems, take measurements with the necessary amount of power to operate the control device, buffer cache memory and a magnetic disc device, as well as the maximum number of disc drives that can be connected to the control device, in addition to the maximum number of I/O signal transmission lines.
- [5] Take measurements with the equipment power on, with the disc spinning and in a state capable of immediately writing or reading data.
- (5) Assessment Result and Assessment Scale Ranking
 - [1] Assessment result

The assessment result shall be the figure of merit actually measured by the vendor according to 5.2.8.1(4) Measurement Methodology.

- [2] Assessment scale ranking
 The assessment scale ranking (★-★★★★) shall be based on normative reference and assessment result.
- [3] Variance in assessment result With the announcement of 2010 Ministerial Notification No. 75 from the Ministry of Economy, Trade and Industry, this Guideline does not specify permissible variance.

<Reference> Industrial Air Conditioners

Since industrial air conditioners for machines and equipment are out of scope of JIS, there is no standard for assessing energy efficiency of "industrial air conditioners for computer rooms." However, there is a "standard for manufacturers in assessing improvements in performance of air conditioners (Ministry of Economy, Trade and Industry, notification 213 *unofficial translation*)" covering air conditioners for people, which is outlined below as reference.

(1) Figure of merit Annual Performance Factor

(2) Normative reference

The standard energy consumption efficiency (E) shall be calculated to the first decimal place using the equation in the right-hand column of the table below.

	Catego	ry		Standard energy
Type and function	Indoor unit type	Cooling capacity	Category name	consumption efficiency or calculation formula thereof
Combined system		n<3.6 kW	aa	E = 6.0
that does not fit into the two	1 directional	$3.6 \text{ kW} \le n \le 10.0 \text{ kW}$	ab	$E = 6.0 - 0.083 \times$ (A - 3.6)
categories below	cassette type	$10.0 \text{ kW} \le n \le 20.0 \text{ kW}$	ac	$E = 6.0 - 0.12 \times (A - 10)$
		$20.0 \text{ kW} \leq n \leq 28.0 \text{ kW}$	ad	$E = 5.1 - 0.060 \times$ (A - 20)
		n<3.6 kW	ae	E = 5.1
	Other than	$3.6 \text{ kW} \le n \le 10.0 \text{ kW}$	af	$E = 5.1 - 0.083 \times$ (A - 3.6)
	4-directional cassette type	$10.0 \text{ kW} \leq n \leq 20.0 \text{ kW}$	ag	$E = 5.1 - 0.10 \times (A - 10)$
		$20.0 \text{ kW} \leq n \leq 28.0 \text{ kW}$	ah	$E = 4.3 - 0.050 \times$ (A - 20)
Multi-split type,		n<10.0 kW	ai	E = 5.7
controlling operation of		$10.0 \text{ kW} \leq n \leq 20.0 \text{ kW}$	aj	$E = 5.7 - 0.11 \times$ (A - 10)
indoor units individually		$20.0 \text{ kW} \le n \le 40.0 \text{ kW}$	ak	E = 5.7 - 0.65× (A - 20)
		$\begin{array}{c} 40.0 \text{ kW} \leq n \leq 50.4 \\ \text{kW} \end{array}$	al	$E = 4.8 - 0.040 \times$ (A - 40)
Ducted type	Non ducted	n<20.0 kW	am	E = 4.9
whose indoor unit is set on floor or	type	$\begin{array}{c} 20.0 \text{ kW} \leq n \leq 28.0 \\ \text{kW} \end{array}$	an	E = 4.9
any like type		n<20.0 kW	ao	E = 4.7
	Duct type	20.0 kW≦n≦28.0 kW	ap	E = 4.7

Note 1: "Ducted type" indicates system connected to ducts at the air outlet.

- Note 2: "Multi-split type" indicates a type that has two or more indoor units connected to an outdoor unit.
- Note 3: E and A the following valuess, respectively: E: standard energy consumption efficiency (unit: annual performance factor) A: Cooling capacity (unit: kW)

(3) Approximate Date for Achieving Normative References

For each fiscal year from 2015, the normative reference for air conditioners manufactured for industrial use in Japan shall be calculated per cooling capacity in the table above, weighted for the numbers shipped, deriving a harmonic mean and adjusted so that it does not fall below the standard energy consumption efficiency of that category.

5.3 Implementation

5.3.1 Public disclosure of assessment results of energy-saving equipment and target timeline for disclosure

Vendors wishing to submit their energy-saving equipment to the Council shall measure and assess the equipment in compliance to criteria outlined in 5.2 of this Guideline, submit the assessment results and other pertinent information (normative reference and assessment scale ranking) to the Council, and publicly disclose information via such medium as the Council website. In principle, only vendors can make submissions and the Council will not accept submission of assessment results from third parties. Indication of the assessment outcome in the vendor's website or other medium, such as the vendor catalog is left to the discretion of the vendor.

Furthermore, the target timeline for public disclosure of the assessment outcome shall be December 27, 2010 or later.

5.3.2 Procurement of energy-saving equipment by telecommunications services providers

Telecommunications services providers planning to procure energy-saving equipment shall establish a procurement standard based on this Guideline and the assessment outcome of energy-saving equipment publicly disclosed on the Council's website and other medium.

5.3.3 Addition of new equipment and review of the existing Guideline

Telecommunications services providers and vendors shall propose the addition of new equipment to be covered in the scope of the Guideline or a review of existing standards based on product trends, such as the emergence of new functions. Upon receipt of a proposal, the Council shall decide on the addition of the indicated equipment, the measurement methodology, normative reference, and the timing of implementation for the new criteria. In addition, the Council shall review the Guideline as deemed appropriate.



TSP= telecommunications services provider

Image of implementation of assessment standard for equipment

6. Normative Reference for Data Centers

6.1 Basic Approach to Normative Reference

A data center is a complex facility combining ICT equipment such as servers, storage equipment, and network equipment with other hardware including air conditioning systems and power converters. Additionally, the various modes of use and contractual agreements that exist contribute to a multifaceted situation. Furthermore, in terms of services, data centers perform a variety of work and individual data centers differ greatly in how and for what processes software is used.

For this reason, establishing a figure of merit on energy-saving as a normative reference for data centers is no easy task. Studies on this issue are currently underway inside and outside of Japan. Therefore, this guideline uses PUE as one figure of merit (details to follow) currently accepted on a wide scale, which serves as a useful reference for data centers to perform CO₂ emission reduction activities and for telecommunications services providers to procure data center services. Even in the case of PUE, which has been adopted on a relatively broad scale, there is insufficient data from actual measurements and little data has been publicly disclosed.

Therefore, to promote CO₂ emission reduction by telecommunications service providers' through energy conservation activities, it is hoped that data center operators measure energy conservation related data (e.g. PUE with clear measurement conditions) and make further efforts in public disclosure.

6.2 Definition

A data center is a space or facility (including air conditioning, power converters, etc.) used exclusively to store the ICT equipment (network equipment, servers, storage equipment, etc.) it operates.

A data center may be a stand-alone building but also could occupy a portion of space in a shared facility (office, etc.) within a building used for other purposes.

However, this guideline covers data centers primarily providing services to external clients. Thus, this guideline does not apply to a small-scale server room equipped with only a few pieces of ICT equipment.

6.3 Figure of Merit on Energy-Saving As a Normative Reference

(1) Figure of merit on energy-saving that may be used now

One figure of merit on energy-saving for data centers that may be used now is the Power Usage Effectiveness (PUE) figure of merit, which compares the power consumption of the ICT equipment that should be in operation to the power consumption of the facility portion (air-conditioning, power source, lighting, etc.) used to operate the ICT equipment. PUE is a figure of merit proposed by The Green Grid (US) and its definition is as follows.

PUE = power consumption of entire facility (ICT equipment + facilities) / power consumption of ICT equipment

Based on this definition, one could say that a small PUE value could mean that the facilities portion of a data center has good energy efficiency.

PUE is the figures of merit on energy-saving for data centers most widely adopted so far.

At the same time, there are those who point to issues with PUE. One is that the measurement methods are not always clearly defined. Another is that the metrics do not consider differences in energy efficiency derived from efficiency of the ICT equipment and operational proficiency.

(2) The status of studies at related organizations

Japan's Green IT Committee in JEITA has worked on the development of an independent figure of merit on energy-saving covering the entire data center including ICT equipment. The Committee has proposed its DPPE (Datacenter Performance Per Energy) as a figure of merit on energy-saving covering the entire data center. The DPPE is consisted of a function of 4 sub-figures of merit, which are as follows.

Sub-figure of merit	Formula	Measures covered
ITEU (IT Equipment	= Datacenter's usage rate of	Effective use of IT
Utilization)	IT equipment	equipment
ITEE (IT Equipment	= Total rated capacity of IT	Installation of energy
Energy Efficiency)	equipment/ Total rated	conserving IT equipment
	power consumption of IT	
	equipment	
PUE (Power Usage	= Total power consumption	Reduction in power
Effectiveness)	of the datacenter/ Energy	consumption by the
	consumption of IT	facility
	equipment	
REF (Renewable Energy	= Renewable energy	Use of Renewable
Factor)	electricity/ Total power	electricity
	consumption of the	
	datacenter	

(Source: Green IT Promotion Council "Concept of New Metrics for Data Center Energy Efficiency Introduction of Datacenter Performance per Energy (DPPE)" Feb., 2010)

<u>The Green IT committee has been promoting activities for global standardization</u> of sub-figure of merits with JTC 1 / SC 39 (Sustainability for and by IT) established under the collaboration of the ISO and IEC. As a result, in 2016, PUE and REF and pubulished as ISO / IEC 30134-2, ISO / IEC 30134-3, in 2017 ITEE and ITEU and pubulished as ISO / IEC 30134-4, ISO / IEC 30134-5, It was officially issued as a global standard. Currently, only four figure of merit on energy-saving of data centers, which are global standards, are available.

As these figures of merit become global standards, they will be used in government procurement in each country in the future. Furthermore, in Europe as a whole, we are planning to incorporate these figures of merit that have become these global standards in the revision work of the European Data Center Standard (EN 50600).

Moreover, under the EU's Code of Conduct on Data Centers, a guideline compiled for data centers, PUE is adopted as a figure of merit on energy-savings for facilities, while a productivity figure of merit is to be decided in consideration of future trends. Furthermore, the US Environmental Protection Agency (USEPA) uses PUE to rate the energy efficiency of facilities, and issues EnergyStar certification to energy efficient Data Centers.

On the other hand, organizations such as ISO/IEC and ITU-T are also continuing to look into figures of merit for datacenter environment and/or energy efficiency. This Council will monitor progress of these efforts and when deemed necessary, consider reflecting their output in this Guideline.

(3) Data Center Figure of Merit on Energy-Saving under this Guideline

In light of current studies on figures of merit on energy-saving and the situation of global adoption, this Guideline preliminarily uses PUE, already adopted as a figure of merit on energy-saving for the facilities portion, which serves as a useful reference for data centers to perform CO₂ emission reduction activities and for telecommunications service providers to procure data center services. It is preferred that data center operators measure energy conservation related data (e.g. PUE with clear measurement conditions) and advance public disclosure. This guideline will continue to revisit figures of merit in consideration of future trends in studies on the issues inside and outside of Japan.

6.4 Outline of Figure of Merit on Energy-Saving

(1) PUE

<u>PUE, currently an important figure of merit on energy-saving for data centers, is</u> as described in 6.3(2), and pubulished as IOS/IEC 30124-2 2016.

Here, ICT equipment includes computers, network equipment, ICT support systems (printers, etc.), storage, and telecommunications equipment. Facilities refer to equipment not included under ICT equipment, namely, electrical power (converters, UPS, lighting, etc.), air conditioning (including cooling tower, cooling water pump, humidifier, etc.), security equipment, building management systems and the like. In the case that a data center uses other energy sources in parallel to electrical power, use an energy conversion value to calculate PUE. Specifically, use a crude oil equivalent value for the amount of energy consumed as defined by the Energy Conservation Law.

(2) Measurement Methods for PUE

<u>PUE measurement methods (measurement frequency, selecting measurement points, etc.) are published as IOS/IEC 30134-2 2016.</u>

(3) Disclosure Items and Methodology of Data Center Energy-Efficiency Data

The energy efficiency of data centers is believed to differ depending on the characteristics of the data center. Thus, in disclosing energy-efficiency data, the basic characteristics of a data center that would significantly impact energy efficiency need also be disclosed. As an attempt at tentative uniformity in consideration of user convenience, specific disclosure items should include, in addition to the actual PUE measurement values, measurement methodology, data center location, room temperature and humidity settings, duration of measurement, and redundancy configurations.

This guideline will not stipulate uniform measurement methods, but the data center address, internal thermostat/humidity settings and redundancy configuration should be disclosed.

Di	isclosure items	Example of information entry
Name of opera	of operator XYZ Co., Ltd.	
Name of data c	lame of data center Tokyo Data Center	
Energy efficien	cy measures	Cold aisle capping
Basic features	Data center location	Country, area (larger Tokyo metro area)
	Internal temperature/humidity setting	22°C/50 - 60%
	Redundancy configuration	N+1

Disclosure items for data center energy efficiency

6.5 Implementation (See 4.3 (1) diagram)

6.5.1 Disclosure Method of Energy Conservation Related Data of Data Center

Data center operators shall report energy efficiency related data to the Council and disclose that information via such medium as the Council's website. (in accordance with the disclosure items set forth in 6.4(3))

6.5.2 Procurement by Telecommunications Service Providers of Data Center Services

Telecommunications services providers seeking to procure data center services shall refer to energy conservation related data of the data center disclosed in this guideline, on the Council's website and the like to formulate their procurement standards, and procure the services by selecting a data center based on a comprehensive assessment together with required functions and processing performance.

7. Assessment Standard Eco ICT Logo

7.1 Purpose

7.1.1 Purpose of Self-Assessment of Efforts

The status of efforts and achievements in reducing CO₂ emissions through energy conservation made by telecommunications service providers needs to be publicly disclosed (made visible) in an appropriate and timely fashion so that external parties including users and other related parties may appropriately assess such efforts.

In light of such needs, the purpose of these endeavors is to encourage telecommunications services providers to check the status of those efforts under their own initiative and to enhance their efforts to be ecologically conscious and to reduce their burden on the environment.

7.1.2 Indication of Eco ICT Logo

Telecommunications services providers shall display the "Eco ICT Logo" as a medium for broad public disclosure depending on the result of appropriate self-assessment of their through energy conservation activities to reduce CO₂ emissions etc. and to indicate the status of such implemented actions.

The following entities may display the "Eco ICT Logo:"

> Telecommunications services providers.

> A person who operates a telecommunications business defined under the Telecommunications Business Act, other than Telecommunications services providers.

> Neither of the above, but operating business related to telecommunications services using substantial ICT equipment AND recognized by the Council.

7.2 Checklist

7.2.1 Purpose of the Checklist

The Council has prepared the Self-Assessment Checklist for CO_2 Emission Reduction Efforts Through Energy Conservation by Telecommunications Services Providers (hereinafter, the Checklist) (see separate sheet) for telecommunications services providers to set targets for reducing CO_2 emissions through energy conservation and to use the checklist for self-confirmation and make their efforts visible to others.

The purpose is for telecommunications services providers to use this checklist to perform self-assessments of the results of actions plans prepared and implemented by individual companies and as a medium for public disclosure.

7.2.2 Checklist Assessment Items and Assessment Standards

<Preparation of a Voluntary Ecological Action Plan>

- [1] Has a voluntary ecological action plan stipulating various efforts directed at reducing CO₂ emissions through energy conservation been created and is it being executed?
 - Is there a voluntary ecological action plan in place, which is aimed at promoting ecological preservation activities such as the prevention of global warming, reduction of waste and the promotion of reduce, reuse and recycling activities?
- [2] Does the ecological policy include specific efforts stipulating numerical targets for the reduction of CO₂ emissions through energy conservation?
 - Does the voluntary ecological action plan include specific activities and numerical targets?

Examples

- Reduce by the end of 2020 the amount of electricity used by ICT equipment by 25%, compared to 1990 levels.
- Switch to green power generation, purchase green power certificates and the like, so that green power accounts for 10% of electricity used.
- 10% reduction on a year-to-year base employees' use of private vehicles for commuting by promoting the use of public transportation, bicycles and car-sharing.
- [3] Is the ecological policy documented and disseminated inside and outside the company? Does the company carry out activities to inform and enlighten its employees? Is the company working to raise ecological awareness?
 - Are employees informed of such plans through internal training events and the like?
 - Is information disclosed outside the company or is information disclosed to interested parties and other members of the general public when needed?
- [4] Does the company disclose to the general public its activities and data such as CO₂ emission reduction?
 - Is such information as progress reports, accomplishments and track record of specific activities under the voluntary ecological action plan disclosed to the public?
 - Does that information continue to be publicly disclosed based on annual reviews?
 - *May be substituted with the creation and disclosure of an ecological report,

CSR report, sustainability report, and the like.

Examples

- URL of the company's website where the status of specific actions taken in accordance with the voluntary ecological action plan is disclosed
- URL of the company's blog where information on ecological activities is disseminated on a continual basis

<Efforts Related to Procurement>

- [5] In regard to ICT equipment and data center services, has the company prepared a procurement standard based on assessment standards specified in this Guideline? Is procurement carried out in accordance with the standard?
 - Is there a procurement standard prepared in reference to this Guideline that relates to ICT equipment and data center functions the company plans to procure?
 - Are ICT equipment and data centers with advanced energy conservation features being procured in accordance with an established procurement standard?
- [6] Is the company cognizant of energy conservation in procuring office equipment, supplies and logistics (e.g., green purchasing)?
 - Is the company implementing green purchasing?
 - Are specific procurement measures taken in consideration of energy conservation?

Examples

- Introducing fluorescent lamps and office equipment with low power consumption.
- Prioritize procuring products and services minimizing burden on the environment from providers who make an effort to lower negative environmental impact.

<Promotion Regime>

- [7] In relation to efforts to reduce CO₂ emissions through energy conservation, has the company assigned a person or department to be responsible for such matters?
 - Has the company assigned a person or department to be responsible for promoting these efforts, regardless of whether those resources are dedicated or hold other concurrent responsibilities?
- [8] Is there a regime in place using internal audits or other means to keep appropriate track of the implementation of measures and achievement of targets set forth in the voluntary ecological action plan? Is the internal audit performed by a department other than the department that sets targets?

- Are member companies of industry groups auditing each other?
- Or does the company receive external audits or comments from third parties?

Examples

• Member companies of industry groups audit each other.

<Other Ecological Activities>

- [9] Are ecologically-friendly efforts being made other than activities to save energy?
 - Is the company involved in resource conservation in the office by conducting double-sided printing or printing on the flip side of already printed paper and trying to reduce waste? If so, specific activities shall be indicated.

Examples

- Working to use double-sided printing and copying, reduce the amount of paper and ink used, and reduce the amount of power used for printing.
- Working to decrease the number of pages printed by not printing out unnecessary pages.

[10] Is the company involved in ecological preservation activities in collaboration with local communities?

• Does the company work on a continual basis with society on activities related to ecological preservation?

Examples

- Work jointly with regional organizations every month to pick up garbage and increase plant coverage in local areas.
- Participate in community recycling activities to actively recycle.
- Participate in eco-cap activities and make contributions to society beyond recycling efforts.

7.2.3 Review of the Checklist

The Council shall review this checklist and its items as appropriate in response to: reviews of this Guideline; changes in policy relating to global warming measures; revisions to laws and ordinances; the status of ICT service provision; penetration of equipment and the like; and technological advances.

7.3. Eco ICT Logo

7.3.1 Method of Indication

In accordance with 7.3.2, telecommunications services providers may use on their website and printed matter the name of the logo and the "Eco ICT Logo" set forth

in the reference section of the Guideline.

7.3.2 Usage Stipulations

(1) Usage Standard

In using the name and "Eco ICT Logo," disclosure by the means set forth in 7.4.2 must be followed.

(2) How to Obtain

The "Eco ICT Logo" may be downloaded in electronic format from the Council's website.

- (3) The permissible scope of use of the name and "Eco ICT Logo" by a telecommunications services provider
 - The company's website
 - The company's advertisements requested to be posted on a third party's website
 - E-mails sent by the company or by a third party at the request of the company
 - Business cards
 - Materials distributed in an electronic format
 - Printed pamphlets, distribution materials, leaflets, mailings, etc.
 - Projection data used in presentations
- (4) Reminders in Use of Logo

When using the name and "Eco ICT Logo," telecommunications services providers shall do so under the following conditions.

- [1] Expenses shall be borne by the telecommunications service provider.
- [2] The name and "Eco ICT Logo" must be used in their entirety, without changing the aspect ratio or the color of the "Eco ICT Logo."
- [3] When use of the name or the "Eco ICT Logo" by a telecommunications services provider might possibly conflict with the intent of self-assessment efforts to reduce CO₂ emissions through energy conservation or conflict with public order or standards of decency, or otherwise, the Council may advise the telecommunications services provider of correction based on a judgment by the Council.
- (5) Discontinuing Use of the Logo
 - [1] In the event a telecommunications services provider using the name or "Eco ICT Logo" fails to perform self-assessment of efforts to reduce CO2 emissions through energy conservation, the telecommunications services provider must promptly discontinue use of the name and "Eco ICT Logo."

- [2] In the event a telecommunications services provider does not comply with the stipulations under 7.3.2 and rules regarding usage separately set forth by the Council, the Council may remove the company from the Council's website and notify of the discontinuation of use of the name and "Eco ICT Logo."
- 7.4. Implementation
 - 7.4.1 Assessment Methods
 - [1] The telecommunications services provider shall perform self-assessment based on the checklist as to whether the company is actively implementing efforts to reduce CO_2 emissions through energy conservation.
 - [2] If the telecommunications services provider is judged to be appropriately implementing efforts indicated in the checklist, the telecommunications services provider shall be responsible for describing an overview of specific efforts being implemented in the checklist.
 - [3] Items 1 to 8 on the checklist are mandatory entries (must describe the company's efforts) and items 9 and 10 are recommended (free to list information in checklist or not).
 - [4] In the event certification of an international standard (ISO 14001) relating to environmental management systems has been acquired, companies may substitute check list entries as appropriate with an indication that certification has been acquired under the applicable assessment items and a description of where that information is made be publicly available.
 - 7.4.2 Public Disclosure Methods by Telecommunications Services Providers Conducting the Efforts

The following information of telecommunications services providers involved in efforts complying with the checklist shall be publicly available through the Council's website.

- Company name (personal name or trade name if an individual)
- URL and link to where the checklist is posted

Telecommunications services providers need to disclose the completed checklist and provide sufficient information for a user or viewer to understand what sort of efforts was made that apply to or comply with the checklist per the self-assessment.

- (1) Submitting Necessary Forms & Information
 - [1] Telecommunications services providers shall post the checklist prepared per 7.4.1(2) on their website and make a submission to the Council via the

Council website.

- [2] If a telecommunications services provider having information disclosed on the Council's website needs to revise targets aimed at reducing CO₂ emissions through energy conservation or change specific effort within the effective period of disclosure, the telecommunications services provider shall follow the procedures similar to those for the original Application as deemed appropriate via the Council website.
- (2) Effective Period of Disclosure by the Council
 - [1] Regarding results of telecommunications services providers' specific efforts toward targets aimed at appropriately reducing CO₂ emissions through energy conservation, such targets need to be reviewed at least once a year, since continuity and improvement contributes greatly to reducing CO₂ emissions. Thus, the effective period of disclosure by the Council shall be no longer than one year and continue to the last day of December of each year.
 - [2] When self-assessment of efforts to reduce CO₂ emissions through energy conservation is performed, a checklist is completed and submitted at some point between January 1 and the last day of December. The effective period of disclosure by the Council shall continue until the last day of December of the year disclosure began and shall not be calculated as one full year from the date of disclosure.
- (3) Method of Renewing Public Disclosure by the Council
 - [1] Telecommunications services providers shall submit necessary information to the Council between October 1 and the last day of December of each year, receive confirmation for renewal and have disclosure by the Council renewed.
 - [2] For renewal, a telecommunications services provider submits procedures similar to those for the original report to the Council via the Council website.
 - [3] If a telecommunications services provider does not report for renewal by the last day of December each year, the Council may remove that company from the list and cancel the company's usage of the name and "Eco ICT Logo."
 - [4] Telecommunications services providers removed from the list disclosed by the Council must promptly discontinue use of the name and "Eco ICT Logo" on their own websites and printed mattes.
- (4) Others
 - [1] If a third party inquires about the disclosed contents regarding a telecommunications services provider on the Council's website, the inquiry may be routed to the relevant telecommunications services provider.

[2] The telecommunications services provider subject to the inquiry must answer and respond in good faith.

<Diagram of Logo for Reference>

Eco ITC Logo design



Self-Assessment Checklist for CO₂ Emission Reduction from Energy Conservation Efforts by Telecommunications Services Provider

Telecommunications services provider:

		Assessment items	Implementation, measures taken
Preparation of a voluntary ecological action plan	1	Has a voluntary ecological action plan stipulating various efforts directed at reducing CO ₂ emissions through energy conservation been created and is it being executed?	
	2	Does the ecological policy include specific efforts stipulating numerical targets for the reduction of CO ₂ emissions through energy conservation?	
	3	Is the ecological policy documented and disseminated inside and outside of the company? Does the company carry out activities to inform and enlighten its employees? Is the company working to raise ecological awareness?	
	4	Does the company disclose to the general public its activities and data such as CO ₂ emission reduction?	
Efforts relating to procurement	5	In regard to ICT equipment and data center services, has the company prepared a procurement standard based on assessment standards specified in this Guideline? Is procurement carried out in accordance with the standard?	
	6	Is the company cognizant of energy conservation in procuring office equipment, supplies and logistics (e.g., green purchasing)?	
Promotion regime	7	In relation to efforts to reduce CO_2 emissions through energy conservation, has the company assigned a person or department to be responsible for such matters?	
	8	Is there a regime in place using internal audits or other means to keep appropriate track of the implementation of measures and achievement of targets set forth in the voluntary ecological action plan?	

<Mandatory items>

<Recommended items>

Other	0	Are ecologically-friendly efforts being
ecological	9	made other than activities to save energy?

Ecology Guideline For the ICT Industry

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(TCA20171206V00R01)